

# Supplement of “Schedulability Analysis for a Mode Transition in Real-Time Multi-Core Systems”

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## APPENDIX I: DETAILED PROOFS

### A. Proof of Lemma 3.

**R1.** Since  $\mathbf{W}_i^{g \Rightarrow h}(d_k^u)$  and  $\mathbf{E}_i^{g \Rightarrow h}(d_k^u)$  are upper-bounds of  $\mathbf{I}(\tau_k^u \leftarrow \tau_i^{g \Rightarrow h})$  with any release and execution patterns of tasks and any release pattern of the MTR, R1 holds.

**R2.** The schedulability analysis does not require any information from previous modes, such as response times of tasks with previous modes, and thus, R2 holds.

**R3.** If every task with  $M^g$  is identical to the task with  $M^h$  (i.e.,  $\tau_i^g = \tau_i^h$ ),  $\mathbf{W}_i^{g \Rightarrow h}(\ell)$  and  $\mathbf{E}_i^{g \Rightarrow h}(\ell)$  are the same as  $W_i^g(\ell)$  and  $E_i^g(\ell)$ , respectively. The schedulability analysis in Theorem 1 is then equivalent to Lemma 1 with  $W_i^g(\ell)$  and  $E_i^g(\ell)$ . Therefore, R3 holds.

### B. Proof of Lemma 4.

We first look at a task  $\tau_k$  in  $\tau(1)$  (defined in Step 2 of Algorithm 1), and consider two aspects: (a)  $\tau_k$  is schedulable or not; and (b)  $\tau_k$  makes other tasks schedulable or not.

Placing  $\tau_k$ 's transition first is the best choice for  $\tau_k$ 's schedulability, because such an order maximizes the chance of  $\tau_k$ 's schedulability by Observation 4 and  $\tau_k$  is schedulable with any sequential transition order by Observation 3.

Since  $\tau_k^g \succ^{I(\tau^*)} \tau_k^h$  holds,  $\min(\mathbf{S}\mathbf{W}_k^{g \Rightarrow h}(d_i^g), d_i^g - e_i^g + 1) = \min(W_k^g(d_i^g), d_i^g - e_i^g + 1)$  holds, regardless of transition order. On the other hand,  $\tau_k^{g \Rightarrow h} \prec \tau_i^{g \Rightarrow h}$  yields a smaller  $\min(\mathbf{S}\mathbf{W}_k^{g \Rightarrow h}(d_i^h), d_i^h - e_i^h + 1)$ , which equals  $\min(W_k^h(d_i^h), d_i^h - e_i^h + 1)$ . Therefore, placing  $\tau_k$ 's transition order in the earliest position minimizes the interference of  $\tau_k$  on all other tasks in  $\tau \setminus (\tau^* \cup \{\tau_k\})$ . Note that we need not care for tasks in  $\tau^*$  because the tasks with both modes are schedulable with any sequential transition, according to Observation 3.

In summary, placing the transition order of each task  $\tau_k$  in  $\tau(1)$  first maximizes the possibility of  $\tau_k$ 's schedulability, and minimizes its interferences on other tasks. This means that such a placement maximizes the chance of the schedulability of all tasks including  $\tau_k$  itself.

The same reasoning holds for placing the transition order of tasks in  $\tau(3)$  last. Therefore, the lemma holds.

### C. Proof of Lemma 5.

Before proving this lemma, we introduce a property to be used, as stated in the following observation.

**Observation 5.** Suppose that  $\tau$  makes a sequential transition from  $M^g$  to  $M^h$  with a given order. Then, the left-hand side of Eq. (18) for a given task  $\tau_k^u$  ( $u$  is either  $g$  or  $h$ ) is not affected by the relative transition order of tasks in  $\tau' \triangleq \{\tau_i | \tau_k^{g \Rightarrow h} \prec \tau_i^{g \Rightarrow h}\}$  and  $\tau'' \triangleq \{\tau_i | \tau_k^{g \Rightarrow h} \succ \tau_i^{g \Rightarrow h}\}$ , but affected by the elements of  $\tau'$  and  $\tau''$ .

The observation holds because  $\mathbf{S}\mathbf{W}_i^{g \Rightarrow h}(d_k^u)$  depends only on whether  $\tau_k^{g \Rightarrow h} \prec \tau_i^{g \Rightarrow h}$  or  $\tau_k^{g \Rightarrow h} \succ \tau_i^{g \Rightarrow h}$  holds.

Suppose that  $\tau$  is schedulable in the presence of a transition from  $\tau^g$  to  $\tau^h$ , with a given sequential transition order compliant with Algorithm 1. Now, we look at how transition order change of tasks in  $\tau(1)$  affects the schedulability of two groups of tasks: (i) tasks in  $\tau(1)$  and (ii) tasks in  $\tau(2) \cup \tau(3)$ .

For (i), the transition order of a task  $\tau_k$  in  $\tau(1)$  does not affect the schedulability of  $\tau_i^g$  for all  $\tau_i \in \tau(1) \setminus \{\tau_k\}$ , since  $\min(\mathbf{S}\mathbf{W}_k^{g \Rightarrow h}(d_i^g), d_i^g - e_i^g + 1) = \min(W_k^g(d_i^g), d_i^g - e_i^g + 1)$  holds regardless of the relative transition order of  $\tau_k$  and  $\tau_i$  (by the definition of  $\tau_k^g \succ^{I(\tau)} \tau_k^h$ ). As to  $\tau_i^h$ , it is also schedulable with any sequential transition by Observation 3, meaning that the transition order of a task  $\tau_k$  in  $\tau(1)$  does not affect the schedulability of  $\tau_i^h$  for all  $\tau_i \in \tau(1) \setminus \{\tau_k\}$ .

The schedulability of tasks in  $\tau(2) \cup \tau(3)$  is also not affected by the relative order of tasks in  $\tau(1)$  according to Observation 5.

In summary, the relative transition order of tasks in  $\tau(1)$  does not change the schedulability of every task in  $\tau$ . This holds for  $\tau(3)$  with the same reasoning. Therefore, the lemma follows.

## APPENDIX II: TASK SET GENERATION

To evaluate a variety of task sets in terms of task-set utilization, task utilization, the number of tasks, etc., we generate task sets as follows, based on a widely-used method [26].

For task parameters,  $p_i$  is uniformly distributed in  $[1, 1000]$ ,  $d_i$  is set to  $p_i$  (i.e., implicit deadline tasks), and  $e_i$  is generated based on the exponential distribution of  $e_i/p_i$ , whose probability density function is  $0.1 \cdot \exp(-0.1 \cdot x)$ .

We focus on a situation where a task set  $\tau$  switches from  $M^g$  to  $M^h$ . We generate  $\tau^g$  and  $\tau^h$ , both of which are schedulable by FP, and determine whether or not the task set is schedulable in the presence of the transition from  $M^g$  to  $M^h$  by our schedulability analyses. To achieve this, we generate 10,000 task sets (each of which has both modes  $M^g$  and  $M^h$ ) for each  $m = 2, 4, 8, 16$ , by repeating the following procedure.

- 1) Initially, we generate a set of  $m + 1$  tasks for  $\tau^g$  because  $m$  tasks are trivially schedulable on  $m$  cores.
- 2) In order to exclude unschedulable sets, we check whether the generated task set  $\tau^g$  can pass Lemma 1 with the upper-bound of  $W_i(\ell)$  (for higher-priority tasks) and zero (for lower-priority tasks).
- 3) If  $\tau^g$  fails to pass the test, we discard the generated task set and return Step 1. Otherwise,  $\tau^g$  will be used for evaluation.
- 4) For each task  $\tau_i^g$  in  $\tau^g$ , we generate a new task  $\tau_i^h$  for  $\tau^h$  with probability 0.5; otherwise, we use the same task for  $\tau^h$ , i.e.,  $\tau_i^h = \tau_i^g$ .

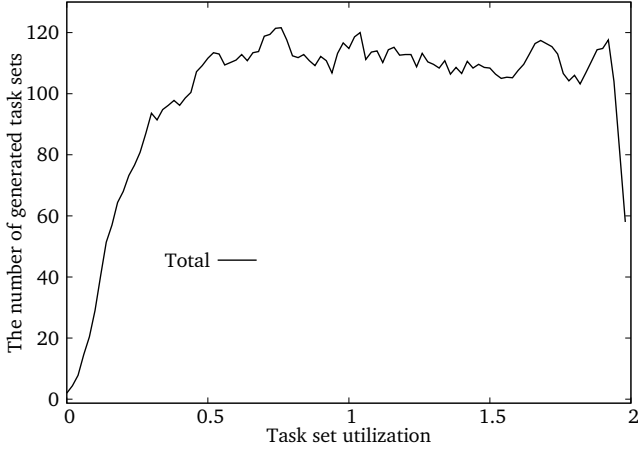


Fig. 5. Task-set utilization of generated task sets  $M^g$  for  $m = 2$

- 5) We perform Step 2 for  $\tau^h$ .
- 6) If  $\tau^h$  fails to pass the test, we discard the generated task set and return Step 4. Otherwise, we include a pair of  $\tau^g$  and  $\tau^h$  for evaluation; we create a new set for  $\tau^g$  by adding a new task into the current  $\tau^g$ , and return Step 2.

For task sets for EDF, we apply  $E_i(\ell)$  instead of  $W_i(\ell)$ . Note that we do not consider task deletion, since it cannot make a schedulable task set unschedulable. In future, we will evaluate addition of tasks.

We now present the statistics of generated sets  $M^g$  for  $m = 2$ ; the trend for other  $m$  values is similar to  $m = 2$ . Fig. 5 shows the total number of generated task sets  $M^g$  with different task-set utilizations (i.e.,  $U_{sys} \triangleq \sum_{\tau_i \in \tau} e_i/p_i$ ) in  $[U_{sys} - 0.01 \cdot m, U_{sys} + 0.01 \cdot m)$ . Also, the generated task sets differ in the number of task sets, from 3 to 34.