

# Optimal Scheduling of Cooperative Tasks in a Distributed System Using an Enumerative Method

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**Abstract**—This paper considers preemptive (resume) scheduling of cooperative tasks that have been preassigned to a set of processing nodes in a distributed system, where each task is assumed to consist of several modules. During the course of their execution, these tasks communicate with each other to collectively accomplish a common goal. Such intertask communications lead to precedence constraints between the modules of different tasks. Our scheduling objective is to minimize the maximum normalized task response time, called the *system hazard*. Real-time tasks and the precedence constraints among them are expressed in a PERT/CPM form with activity on arc (AOA), called the *task graph* (TG), in which dominance relationship between simultaneously schedulable modules is derived and used to reduce the size of the set of active schedules to be searched for an optimal schedule. Lower-bound costs are estimated, which are then used to bound the search. Finally, a demonstrative example and some computational experiences are presented.

**Index Terms**—Branch-and-bound (B&B) method, distributed real-time systems, dominance relation, lower-bound cost estimates, precedence constraints, preemptive (resume) scheduling of real-time tasks, regular performance measures, system hazard.

## I. INTRODUCTION

REAL-TIME systems are characterized by their requirement that the execution of their computational tasks must be not only logically correct but also completed in time; otherwise, catastrophe may ensue [1]. In a distributed real-time system, the tasks communicate with each other to accomplish the overall system goal. This paper addresses the “optimal” (in the sense to be defined later) preemptive scheduling of communicating real-time tasks that have already been preassigned to the processing nodes (PN’s) of a distributed system. The scheduling objective used is to minimize the *system hazard*, or the maximum normalized task response time over all tasks. By properly selecting the normalization factor for each task, one can show that the system hazard subsumes many other scheduling criteria related to meeting task deadlines [2].

Let  $T = \{T_i; i = 1, 2, \dots, m\}$  be a set of  $m \geq 2$  cooperative tasks to be executed by a set,  $N = \{N_k; k = 1, 2, \dots, n\}$ , of  $n \geq 2$  PN’s. Using the method in [3], one

can decompose each task  $T_i$  with release time  $r_i$  into several *modules* on the basis of intertask communications. Intertask communications introduce precedence constraints between the modules of different tasks. Each module of  $T_i$  must be executed by the PN to which  $T_i$  is assigned. There may also be a communication delay between the completion of a module and its subsequent enabling of another module on a different PN. The execution time of a module by the associated PN and each communication delay are assumed to be given. Worst-case values of these are usually used for the purpose of scheduling real-time tasks.

A PERT/CPM graph with Activity On Arc (AOA)<sup>1</sup> [4], called the *task graph* (TG), is used to describe communication delays and the precedence constraints between modules and the release and completion of each task. Unlike a general PERT/CPM graph—which usually has only a single pair of starting and ending nodes—the TG has multiple pairs of starting and ending nodes, each representing the release and the completion events of a task. Thus, a starting (ending) node is said to be “realized” when the corresponding task is released (completed). Note that the completion of a task  $T_i$  means the completion of all modules<sup>2</sup> and communication delays which precede  $T_i$ ’s ending node in the TG. Depending on how the TG is constructed to reflect  $T_i$ ’s completion, the set of these preceding modules may not contain all  $T_i$ ’s constituent modules. This means that even the last module(s) necessary to complete  $T_i$  may not belong to  $T_i$ . Hence, when solving the task scheduling problem (TSP), what is more important is which PN executes a given module and which ending node the module precedes in the TG, rather than which task the module belongs to. Following the convention in any PERT/CPM graph with AOA, each module or communication delay of the TG will henceforth be called an *activity*.

Fig. 1 shows an example TG consisting of four tasks  $T_1, T_2, T_3$ , and  $T_4$ .  $T_1$  and  $T_2$  are assigned to  $N_1$ , while  $T_3$  and  $T_4$  are assigned to  $N_2$ . Thus, all modules on the left-hand side (LHS) of the shaded areas in Fig. 1 are to be executed by  $N_1$ , whereas those on the right-hand side (RHS) to be executed by  $N_2$ . Each arc in the TG represents an activity and the weight associated with an arc is the execution time (magnitude) of the corresponding module (delay). In this TG, there are four starting nodes  $n_1, n_2, n_3$ , and  $n_{11}$

<sup>1</sup>The reason why the AOA-type—instead of the commonly used AON (Activity On Node) type—graph is used to describe a TG is that a node in an AOA-type TG corresponds more naturally to the readiness (completion) state of all modules immediately following (preceding) the node as can be seen below.

<sup>2</sup>Which may belong to  $T_i$  as well as to other tasks.

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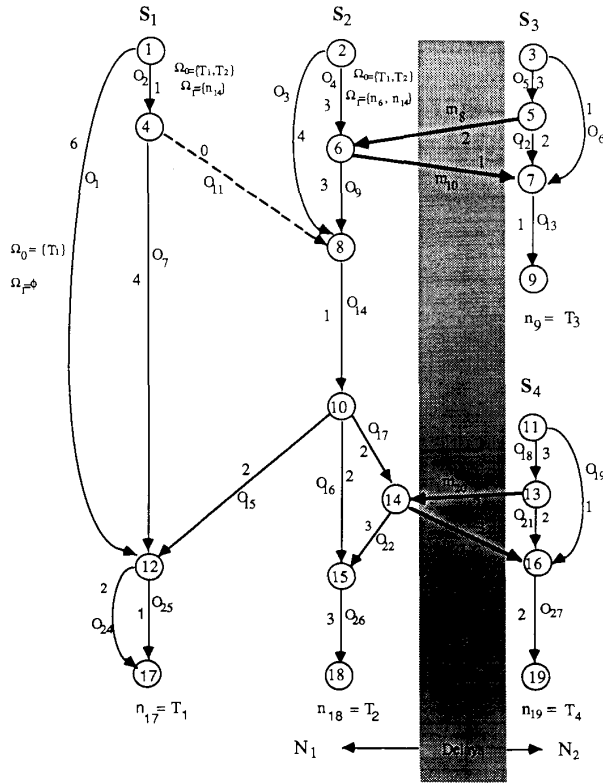


Fig. 1. An example TG.

(labeled  $S_1, S_2, S_3,$  and  $S_4,$  respectively), and four ending nodes  $n_{17}, n_{18}, n_9,$  and  $n_{19},$  which represent the release and completion events of  $T_1, T_2, T_3,$  and  $T_4,$  respectively. A task is considered completed only after all activities preceding its ending node have been completed. All but  $T_4$ —which is released at time  $t = 20$ —are released at  $t = 0,$  meaning that  $n_1, n_2, n_3,$  and  $n_{11}$  are “realized” at  $t = 0, 0, 0,$  and  $20,$  respectively. For convenience, we write  $n_p = T_i$  if  $n_p$  is the ending node representing  $T_i$ 's completion. Also, the completions of  $T_1, T_2, T_3,$  and  $T_4$  represent the completions of all activities preceding  $n_{17}, n_{18}, n_9,$  and  $n_{19},$  respectively.

Let  $c_i^\zeta$  be the time when  $T_i$  is completed under a scheduling algorithm  $\zeta.$  Then, the *system hazard* of a set  $T$  of tasks is defined as  $\Theta^\zeta \triangleq \max_{T_i \in T} \bar{c}_i^\zeta,$  where  $\bar{c}_i^\zeta = (c_i^\zeta - r_i)/w_i$  is the normalized task response time,  $r_i$  the release time, and  $w_i > 0$  the normalization factor of  $T_i.$  We want to find an optimal preemptive (resume) scheduling algorithm  $\zeta^*$  for  $T$  such that  $\Theta^{\zeta^*} = \min_\zeta \Theta^\zeta.$  That is,  $\zeta^*$  minimizes the maximum normalized response time over all tasks. Notice that  $\Theta^\zeta$  is a regular<sup>3</sup> performance measure.

For example, the two schedules generated by  $\zeta_1$  and  $\zeta_2$  for the four tasks in Fig. 1 are given in Fig. 2, where  $w_1 = 30, w_2 = 40,$  and  $w_3 = w_4 = 20.$   $\zeta_1$  schedules modules<sup>4</sup>

<sup>3</sup>A performance measure  $Z$  is said to be *regular* if 1) the scheduling objective is to minimize  $Z,$  and 2)  $Z$  increases only if one or more task completion times in the schedule increases [5].

<sup>4</sup>Time delays are not schedulable objects.

on each PN according to ascending order of their indices, whereas  $\zeta_2$  considers the normalization factor for each task as well as the precedence constraints that affect the scheduling of modules on other PN's. As shown in Fig. 2, we get

$$\bar{c}_1^{\zeta_1} = \frac{34}{30} \quad \bar{c}_2^{\zeta_1} = \frac{37}{40} \quad \bar{c}_3^{\zeta_1} = \frac{16}{20} \quad \bar{c}_4^{\zeta_1} = \frac{33 - 20}{20} = \frac{13}{20}$$

and

$$\bar{c}_1^{\zeta_2} = \frac{29}{30} \quad \bar{c}_2^{\zeta_2} = \frac{37}{40} \quad \bar{c}_3^{\zeta_2} = \frac{7}{20} \quad \bar{c}_4^{\zeta_2} = \frac{30 - 20}{20} = \frac{10}{20}.$$

Thus,

$$\Theta^{\zeta_1} = \max \left\{ \frac{34}{30}, \frac{37}{40}, \frac{16}{20}, \frac{13}{20} \right\} = \frac{34}{30} > \frac{29}{30}$$

$$= \max \left\{ \frac{29}{30}, \frac{37}{40}, \frac{7}{20}, \frac{10}{20} \right\} = \Theta^{\zeta_2}$$

showing the  $\zeta_2$ 's superiority over  $\zeta_1.$

Even without considering the communication delays, the above scheduling problem is hard, regardless of whether or not preemptions are allowed. For example, the general job-shop scheduling problem [6]—a special case of the above scheduling problem—is already NP-hard for the problems even as simple as  $T_2 | m_j \geq 3 | C_{\max}^6$  or  $T_3 | m_j \geq 2 | C_{\max}$  [7], [8]. Consequently, except in case of a single PN [9], no polynomial time algorithms are likely to exist for our scheduling problem; some form of heuristic and/or enumeration is the only recourse to the problem.

The scheduling problem considered here falls in the realms of resource-constrained task scheduling [10] as well as job-shop scheduling, and thus, its solution approaches must be related to these two types of scheduling problems. Like any other NP-hard problem, approaches to these problems are concerned with how to improve the efficiency of search for an optimal or suboptimal solution by 1) using dominance properties (DP's) to reduce the size of the state space to be searched and 2) deriving a lower-bound cost as tight as possible at each stage to bound the search more efficiently. Giffler and Thompson [11] are the first to propose a systematic approach to generating the set of all *active schedules* based on which (and variations thereof) most implicit enumerative algorithms have been developed. A set  $A$  of active schedules is said to *dominate* another set  $S (\supseteq A)$  of all schedules in the sense that inclusion of an optimal schedule in  $S$  implies the inclusion of the same schedule in  $A.$  In other words, to find optimal schedules with respect to (w.r.t) any regular measure, it suffices to consider only the set of active schedules, thus reducing the size of the state space to be searched. For example, to solve a job-shop scheduling problem, Brooks and White [12] used two bounds, called the *job bound* and the *machine bound,* to bound the search for an optimal schedule among the set of all active schedules. Schrage [13], [14] developed a similar approach to generating the set of all active schedules for preemptive and nonpreemptive cases, and proposed DP's and lower-bound costs to find optimal schedules for a network scheduling problem. An extensive survey of job-shop scheduling with branch-and-bound (B&B)

<sup>5</sup>A two-machine job-shop in which the number of operations in any job is greater than or equal to 3 and the scheduling objective is to minimize the maximum job completion time among all jobs [15].

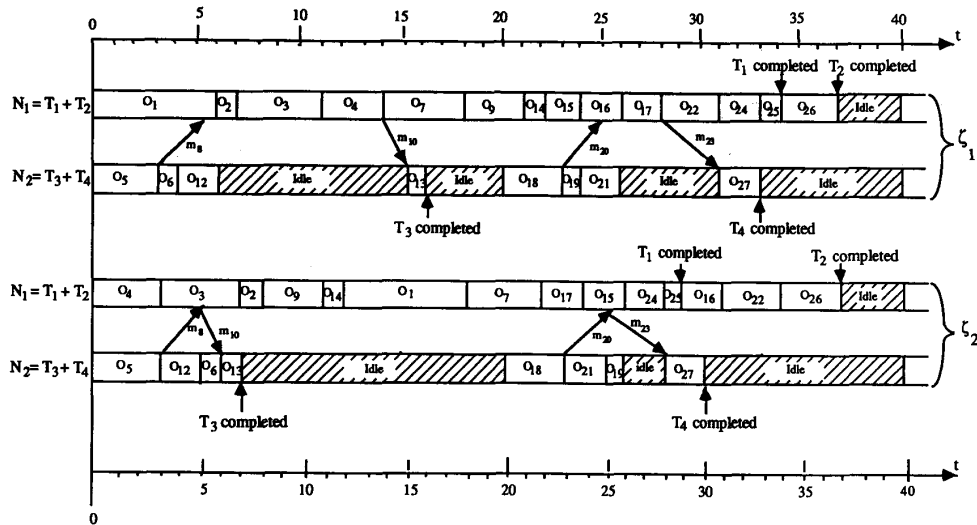


Fig. 2. Two schedules of the TG in Fig. 1.

methods can be found in [16], where job-shop scheduling was modeled by settling pairs of disjunctive arcs and a tighter bound of cost was also developed by including many other bounds as special cases. Possible extensions of the problems and variations of the solution techniques are described in [6].

Unfortunately, none of these approaches are directly applicable to our scheduling problem due mainly to the structural differences. Furthermore, while most known scheduling objectives are to minimize the makespan or to meet task deadlines, our scheduling objective is to minimize the system hazard. As stated in [2], there are distinct advantages in using the system hazard over other objectives. For example, if all tasks to be scheduled are periodic, then minimizing the makespan is not appropriate unless all task periods are the same. On the other hand, an objective simply to meet all task deadlines may not be good enough because one such schedule may still be better than another in the following sense. Suppose there are only two dependent periodic tasks  $T_1$  and  $T_2$  to be scheduled, where the periods of  $T_1$  (to be scheduled on  $N_1$ ) and  $T_2$  (to be scheduled on  $N_2$ ) are 10 and 20, respectively. Consider two schedules  $\zeta_1$  and  $\zeta_2$  of these two tasks in the time period  $[0, 20)$ . In  $\zeta_1$ ,  $T_2$  is completed at time 12, and the two invocations of  $T_1$  are completed at times 9.9 and 14, respectively. In  $\zeta_2$  however,  $T_2$  is completed at time 14, and the two invocations of  $T_1$  are completed at times 6 and 16, respectively. Since all deadlines are met, both  $\zeta_1$  and  $\zeta_2$  are optimal schedules if the objective is simply to meet all task deadlines. However, it is reasonable to argue that  $\zeta_2$ , which with a lower system hazard than  $\zeta_1$  ( $0.7 < 0.99$ ), should be superior to  $\zeta_1$  because a slight increase in  $T_1$ 's and/or  $T_2$ 's execution time may cause  $T_1$  to miss one of its deadlines in  $\zeta_1$ , but not in  $\zeta_2$ . Hence if the normalization factors are chosen to be the task periods as in the above example, then using the system hazard maximizes the system's ability to meet task deadlines and allows for better load sharing in the system. This is especially true when variations in task execution times

are possible, and the variances are proportional to the task flow times.

In this paper, we develop a B&B algorithm to find an optimal schedule w.r.t. the system hazard. We do this by deriving and then using the dominance relationship between simultaneously schedulable modules. Also, lower-bound costs are derived to effectively guide the search for an optimal schedule. Although the general structure of B&B algorithms is well known, it is the derivation of good DP's and tight lower-bound costs that are essential to the success of any B&B algorithm.

A set of DP's w.r.t. all regular measures are identified in Section II. Section III discusses more DP's w.r.t. the system hazard. Using all the DP's derived in Sections II and III, we show in Section IV how the B&B algorithm is guided by a tight lower-bound cost to efficiently find an optimal schedule. A demonstrative example and some computational experiences are presented in Section V. Finally, the paper concludes with Section VI.

## II. DOMINANCE PROPERTIES FOR ALL REGULAR MEASURES

Since preemptions create an infinite number of possible schedules for a given problem, the main idea behind the DP's w.r.t. *all* regular measures is to eliminate unnecessary preemptions and reduce the processor idle times caused by precedence constraints between modules.

An unfinished module  $O_b$  in the TG is said to be *schedulable* if 1) the task containing  $O_b$  has been released, and 2) all of  $O_b$ 's preceding modules and communication delays have already been completed. The DP's to be identified are based on the following observations:

- OB1: Preemptions that do not improve performance must be disallowed to reduce the number of possible branches in the B&B search tree.

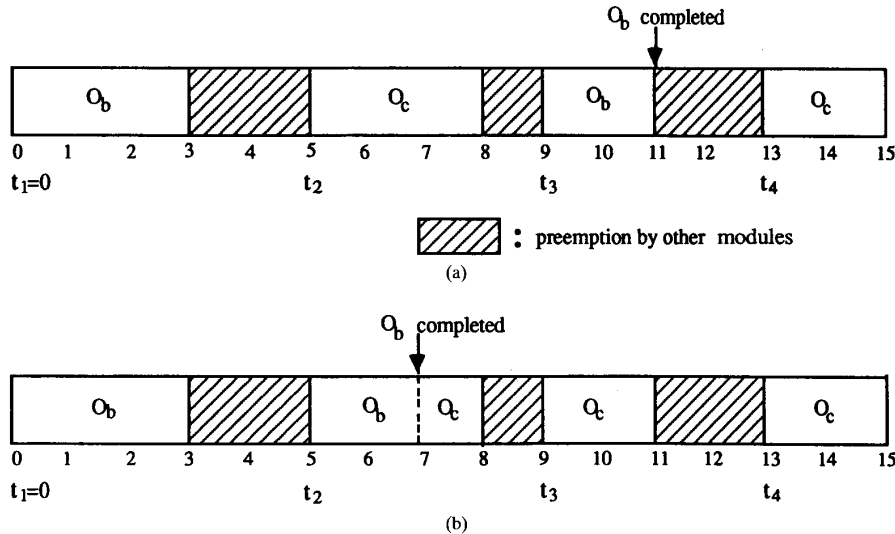


Fig. 3. Two schedules generated by  $\zeta_1$  and  $\zeta_2$ . (a) Original partial schedule by  $\zeta_1$ . (b) Alternative partial schedule by  $\zeta_2$ .

OB2: A PN should not be left idle if there are modules schedulable on the PN.

OB3: A schedule must always be replaced by another schedule if the latter can reduce the completion time of a task without delaying the completion of any other task.

These observations are obvious since preemptions are allowed and regular measures used.

Let  $O_b$  and  $O_c$  be two modules schedulable on  $N_k$ ,  $\zeta_1$  and  $\zeta_2$  be two preemptive scheduling algorithms, and  $Z^{\zeta_1}$  and  $Z^{\zeta_2}$  be the performances of  $\zeta_1$  and  $\zeta_2$ , respectively. OB1 leads to the following theorem w.r.t. any regular measure.

**Theorem 1:** Given any schedule with preemptions between  $O_b$  and  $O_c$ , there always exists another schedule without such preemptions such that the new schedule achieves a cost equal to, or lower than, that of the original schedule.

**Proof:** Let  $O_b$  and  $O_c$  be simultaneously schedulable on  $N_k$  at  $t_1 = 0$ . Consider the partial schedule in Fig. 3(a) generated by a scheduling algorithm  $\zeta_1$ , where the shaded areas represent preemptions by modules other than  $O_b$  and  $O_c$ . As shown in Fig. 3(a),  $\zeta_1$  allows  $O_c$  to interrupt  $O_b$  at  $t_2 > t_1$ . Without loss of generality, we may assume that  $O_b$  is completed before  $O_c$ . We want to show that there always exists another scheduling algorithm  $\zeta_2$  that does not allow preemptions between  $O_b$  and  $O_c$  and achieves an equal or lower cost as compared to the original algorithm  $\zeta_1$ .

Since  $O_b$  is completed before  $O_c$  in the original schedule, construct the new schedule with  $\zeta_2$ , which simply rearranges the execution orders of  $O_b$  and  $O_c$  such that  $O_b$  is executed to completion without  $O_c$ 's interruptions after  $t_1$  (Fig. 3(b)). ( $O_b$  could still be interrupted by modules other than  $O_c$  as shown in the shaded areas in Fig. 3(b).) It is shown that the completion time of  $O_b$  has been reduced while that of  $O_c$  can be maintained. Thus, using  $\zeta_2$  will never result in a larger task completion time than using  $\zeta_1$  because there are always more schedulable modules available to  $\zeta_2$  than  $\zeta_1$ . Similar

arguments also hold if  $O_c$  is assumed to complete before  $O_b$  in the original schedule. In such a case, however,  $\zeta_2$  must rearrange the execution order of  $O_b$  and  $O_c$  such that  $O_c$  is now executed to completion without  $O_b$ 's preemptions. Notice that which of  $O_b$  and  $O_c$  to execute first at  $t_1 = 0$  under  $\zeta_1$  for both of the above cases makes no difference. The theorem follows since  $Z^{\zeta_2} \leq Z^{\zeta_1}$  by OB3. Q.E.D.

For the purpose of disallowing unnecessary preemptions, the implications of Theorem 1 are as follows. If there are  $r$  modules  $M = \{O_j | j = 1, 2, \dots, r\}$  that are simultaneously schedulable on  $N_k$  at  $t_1 = 0$ , then for each of these  $r$  branches we are expanding, the corresponding module shall be allowed to run to completion unless modules other than those in  $M$  become schedulable at  $t > t_1$ . In other words, for each branch  $j$  under expansion, no module in  $M$  is allowed to preempt the execution of the module  $O_j$  corresponding to branch  $j$ . Only those modules that become schedulable at a future time  $t > t_1$  may preempt  $O_j$ . In the following, the DP's are identified based on OB2 and OB3.

While the term "precede" holds its usual meaning between two nodes or two arcs in the TG, we also want to use it between a node and an arc as follows.

**Definition 1:** An activity (module or communication delay)  $A_x$  is said to *immediately precede* a node  $n_p$  if  $n_p$  is at the "head" of  $A_x$  in the TG, written as  $head(A_x) = n_p$ , and  $A_x$  is said to *precede*  $n_p$  if either  $A_x$  immediately precedes  $n_p$ , or  $head(A_x)$  precedes  $n_p$  in the TG.

Therefore,  $n_p$  is said to be *realized* if a task whose starting event is represented by  $n_p$  has been released, and all activities that immediately precede  $n_p$  have been completed.

Since the precedence relation in the TG is *transitive* [17], there are usually many nodes preceded by a module, say  $O_x$ , although only one of them may be immediately preceded by  $O_x$ . Besides, some of the nodes preceded by  $O_x$  may not even be "located" in the same PN, say  $N_k$ , that executes  $O_x$ . Let  $\Omega_0(O_x)$  be the set of nodes which are preceded by  $O_x$ , are

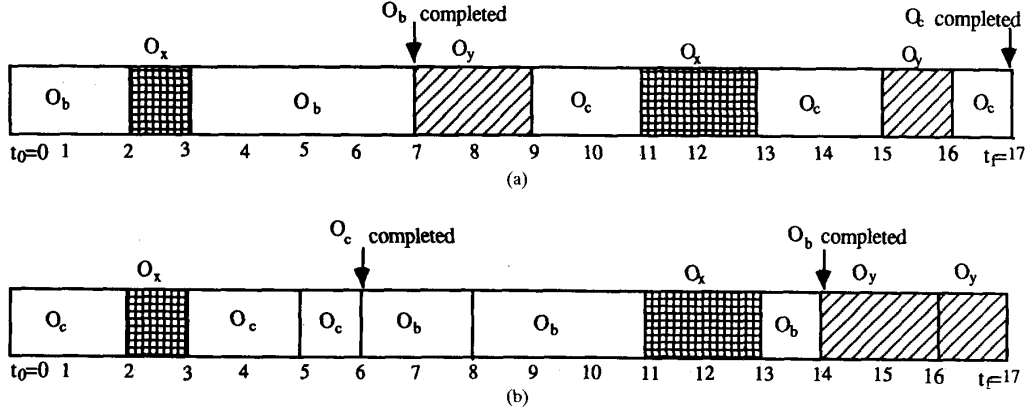


Fig. 4. Two partial schedules generated by  $\zeta_1$  and  $\zeta_2$ . (a) Original partial schedule  $\mu_1$  generated by  $\zeta_1$ . (b) Modified partial schedule  $\mu_2$  generated by  $\zeta_2$ .

indeed located in  $N_k$ , and represent some task completions. Also, let  $\Omega_1(O_x)$  be the set of PN “boundary” nodes that are preceded by  $O_x$ , are located in  $N_k$ , and are placed at the “tails” of some communication delays. For the example TG in Fig. 1,  $\Omega_0(O_2) = \{n_{17}, n_{18}\} = \{T_1, T_2\}$  and  $\Omega_1(O_2) = \{n_{14}\}$  since  $n_{14}$  is a boundary node of  $N_1$ , preceded by  $O_2$  and located at the tail of communication delay  $m_{23}$ . Likewise,  $\Omega_0(O_1) = \{n_{17}\} = \{T_1\}$  and  $\Omega_1(O_1) = \emptyset$ . Moreover,  $\Omega_0(O_4) = \{T_1, T_2\}$ ,  $\Omega_1(O_4) = \{n_6, n_{14}\}$ , and  $\Omega_0(O_5) = \{T_3, T_4\}$ ,  $\Omega_1(O_5) = \{n_5\}$ ,  $\Omega_0(O_6) = \{T_3\}$ ,  $\Omega_1(O_6) = \emptyset$ ,  $\Omega_0(O_{18}) = \{T_4\}$  and  $\Omega_1(O_{18}) = \{n_{13}\}$ . Note that  $\Omega_0(O_2)$  does not contain  $T_4$  since  $n_{19}$  is not located in  $N_1$ . Likewise, none of  $T_1$  and  $T_2$  are contained in  $\Omega_0(O_5)$ .  $\Omega_0(O_x)$  and  $\Omega_1(O_x)$  indicate which and how tasks will benefit from the completion of  $O_x$ . Specifically,  $\Omega_0(O_x)$  represents the set of tasks on a PN, say  $N_k$ , whose completions must be preceded by that of  $O_x$ . On the other hand, tasks on PN’s other than  $N_k$  can only benefit from the completion of  $O_x$  through the realization of nodes in  $\Omega_1(O_x)$ .

Based on the above observation and given any two modules  $O_b$  and  $O_c$  simultaneously schedulable on  $N_k$ , we write  $O_c \Rightarrow^Z O_b$  ( $=^Z O_b$ ) if  $\Omega_j(O_c) \supseteq (=) \Omega_j(O_b)$ ,  $j = 0, 1$ . Notice that if  $O_b$  precedes  $O_c$  then  $O_b \Rightarrow^Z O_c$ , but the converse is not necessarily true. The relation  $\Rightarrow^Z$  is transitive and  $=^Z$  is an equivalence relation. Besides,  $O_b =^Z O_c$  iff  $O_b \Rightarrow^Z O_c$  and  $O_c \Rightarrow^Z O_b$ .

**Definition 2:** Let  $\zeta_1$  be an algorithm that schedules  $O_b$  before  $O_c$  on  $N_k$  at time  $t_0$ . It is said to be *advantageous* w.r.t. a regular measure  $Z$  for  $N_k$  to execute  $O_c$  before  $O_b$  at  $t_0$  if for any such  $\zeta_1$ , there always exists another algorithm  $\zeta_2$  which contains the  $\zeta_1$ ’s partial schedule prior to  $t_0$ , schedules  $O_c$  before  $O_b$  at  $t_0$ , and satisfies  $Z^{\zeta_2} \leq Z^{\zeta_1}$ .

Based on OB2 and OB3, the following theorem associates the relation  $\Rightarrow^Z$  between  $O_b$  and  $O_c$  with the  $N_k$ ’s scheduling decision.

**Theorem 2:** Given any regular measure  $Z$ ,  $O_c \Rightarrow^Z O_b$  implies that it is always advantageous for  $N_k$  to execute  $O_c$  before  $O_b$ , where  $O_b$  and  $O_c$  are modules simultaneously schedulable on  $N_k$ .

**Proof:** Suppose  $\zeta_1$  is a scheduling algorithm under which  $N_k$  executes  $O_b$  before  $O_c$  at time  $t_0$ . Consider the partial

schedule  $\mu_1$  within the time interval  $[t_0, t_f]$  generated by  $\zeta_1$ , where  $t_0$  is the time both  $O_b$  and  $O_c$  are schedulable, and  $t_f$  the time  $O_c$  is completed (Fig. 4(a)). In addition to  $O_b$  and  $O_c$ , there are only two other types of modules that could possibly be executed by  $N_k$  within  $[t_0, t_f]$ : 1) those modules that have no precedence relation with either of  $O_b$  and  $O_c$ , and 2) those modules that are preceded by  $O_b$  but has no precedence relation with  $O_c$ . Modules of type 1) can preempt  $O_b$  and/or  $O_c$  at any time within  $[t_0, t_f]$ , whereas those of type 2) can only be executed after  $O_b$ ’s completion, but may preempt  $O_c$  at any time within  $[t_0, t_f]$ . Let  $O_x$  and  $O_y$  denote, respectively, the representative modules of these two types.

Construct a new partial schedule  $\mu_2$  for  $N_k$  within  $[t_0, t_f]$  as follows. While keeping the schedule for all  $O_x$ ’s unchanged, rearrange all the other modules to be executed in order of  $O_c, O_b$ , and  $O_y$ ’s (Fig. 4(b)).  $\mu_2$  is feasible since all precedence constraints are still met within  $[t_0, t_f]$ . Given  $\Omega_0(O_c) \supseteq \Omega_0(O_b)$  and  $\Omega_1(O_c) \supseteq \Omega_1(O_b)$ , we want to show that there exists another scheduling algorithm  $\zeta_2$  that contains  $\mu_2$  as well as  $\zeta_1$ ’s partial schedule prior to  $t_0$ , and satisfies the inequality  $Z^{\zeta_2} \leq Z^{\zeta_1}$ .

Let  $\Delta_0 = \Omega_0(O_c) - \Omega_0(O_b)$ ,  $\delta_1 = \Omega_1(O_c) - \Omega_1(O_b)$ , and  $\Delta_1$  be the set of tasks located in nodes other than  $N_k$ , the completion event of each of which is preceded by at least a node in  $\delta_1$ . Also, let  $\Delta = \Delta_0 \cup \Delta_1$  and  $\bar{\Delta} = T - \Delta$ , where  $T$  is the set of all tasks. That is,  $\Delta$  represents the set of tasks preceded by both, or neither, of  $O_b$  and  $O_c$ , while  $\bar{\Delta}$  contains the set of tasks preceded by  $O_c$  and, possibly, by both  $O_c$  and  $O_b$ . We now separately compare the possible completion times of tasks in  $\Delta$  and those in  $\bar{\Delta}$  when either  $\mu_1$  or  $\mu_2$  is  $N_k$ ’s partial schedule within  $[t_0, t_f]$ . Because of the way  $\mu_2$  is constructed,  $O_c$  has a smaller completion time under  $\mu_2$ , but  $O_b$  and  $O_y$ ’s will have larger completion times as compared to the case of using  $\mu_1$ . Since  $O_b$  precedes  $O_y$ ,  $O_b \Rightarrow^Z O_y$ , and thus,  $O_c \Rightarrow^Z O_y$ . That is, any task whose completion event preceded by  $O_y$  and/or  $O_b$  is also preceded by  $O_c$ , meaning that the preceded task cannot be completed without completing  $O_y$ ’s,  $O_b$ , and  $O_c$  anyway. This implies that there exist a schedule  $\zeta_2$  containing both  $\zeta_1$ ’s prior partial schedule and  $\mu_2$ , under which the completion times of tasks in  $\Delta$  could be reduced further, without increasing those in  $\bar{\Delta}$ . Since these

arguments are valid for any time  $t_0$ , the theorem follows by OB3. Q.E.D.

For the example TG in Fig. 1, since  $\Omega_0(O_4) = \Omega_0(O_2) \supseteq \Omega_0(O_1)$  and  $\Omega_1(O_4) \supseteq \Omega_1(O_2) \supseteq \Omega_1(O_1)$ ,  $O_4 \Rightarrow^Z O_2 \Rightarrow^Z O_1$ . Therefore, it is advantageous for  $N_1$  to execute these three modules in order of  $O_4, O_2$  and  $O_1$  (with the possibility that some other modules such as  $O_3$  may be executed in between them).

It is worth pointing out implications of Theorem 2. First, the partial schedules  $\mu_1$  and  $\mu_2$  in Theorem 2 were constructed while implicitly honoring Theorem 1. Second,  $\Omega_2(O_c) \supseteq \Omega_2(O_b)$  does not necessarily imply that it is advantageous for  $N_k$  to execute  $O_c$  before  $O_b$ , where  $\Omega_2(O_x)$  is the set of all tasks preceded by  $O_x$ . Third, if it is advantageous at  $t_0$  for  $N_k$  to execute  $O_b$  before  $O_c$  and vice versa, then it makes no difference as to which of  $O_b$  and  $O_c$  is executed first at  $t_0$ . In other words, to search for an optimal schedule, it suffices at  $t_0$  to consider only the case where either of  $O_b$  and  $O_c$  is executed first.

The DP in Theorem 2 is based only on the property expressed in the form of  $\Rightarrow^Z$ , which does not always exist between simultaneously schedulable modules. It is very difficult to derive any finer-grain DP's other than the above for all regular measures. However, if the problem is restricted to a specific regular measure, some finer DP's w.r.t. that measure can be derived. In Section III we derive such DP's w.r.t.  $\Theta$ , which will then be used to simplify the search further in our B&B algorithm.

### III. DOMINANCE PROPERTIES W.R.T. SYSTEM HAZARD

The approach to determining which of  $O_b$  and  $O_c$  to be scheduled first w.r.t.  $\Theta$  is equivalent to determining the relative "urgency" of each task in  $\Omega_0(O_b) \cup \Omega_0(O_c)$ . Before proceeding any further, it is necessary to introduce an optimal *single-machine* scheduling algorithm developed by Baker *et al.* [9], which we call *Algorithm A* in the rest of this paper. A set of jobs with arbitrary release times and precedence constraints is to be scheduled on a single machine so as to minimize the maximum job completion cost, where the cost associated with each job can be any monotone nondecreasing function of its completion time. As can be seen from the following steps, the computational complexity of Algorithm A is  $O(N^2)$ , where  $N$  is the number of jobs to be scheduled.

- SA1: Modify job release times, where possible, to meet the precedence constraints among the jobs, and then arrange the jobs in nondecreasing order of their modified release times to create a set of disjoint blocks of jobs. For example, suppose jobs X, Y, and Z are released at  $t = 0, 2, 15$ , respectively, X precedes Y which precedes Z, and 5 units of time are required to complete each of X and Y. Then, the job Y's release time is modified to  $t = 5$ , Z's release time remains unchanged at  $t = 15$ , and two blocks of jobs  $\{X, Y\}$  and  $\{Z\}$  will then be created.
- SA2: Consider a block  $B$  with block completion time  $t(B)$ . Let  $B'$  be the set of jobs in  $B$  that do not precede any other jobs in  $B$ . Select a job  $l$  from  $B'$  such

that  $f_l(t(B))$  is the minimum, where  $f_l(t)$  is the nondecreasing cost function of job  $l$  if it is completed at  $t$ . This implies that  $l$  be the last job to be completed in  $B$ .

- SA3: Create subblocks of jobs in the set  $B - \{l\}$  by arranging the jobs in nondecreasing order of modified release times as in SA1. (If  $l$  is preempted several times before its completion, the deletion of  $l$  is equivalent to punching several holes in  $B$ .) The time interval(s) allotted to  $l$  is (are) then the difference between the interval of  $B$  and the interval(s) allotted to these subblocks.
- SA4: For each subblock, repeat SA2 and SA3 until time slot(s) is (are) allotted to every job.

Our scheduling problem is much more complicated than that treated by Algorithm A, since two modules belonging to two different tasks could be assigned to two different PN's and must meet the precedence constraints between them. However, the following useful lemma can be derived from SA2.

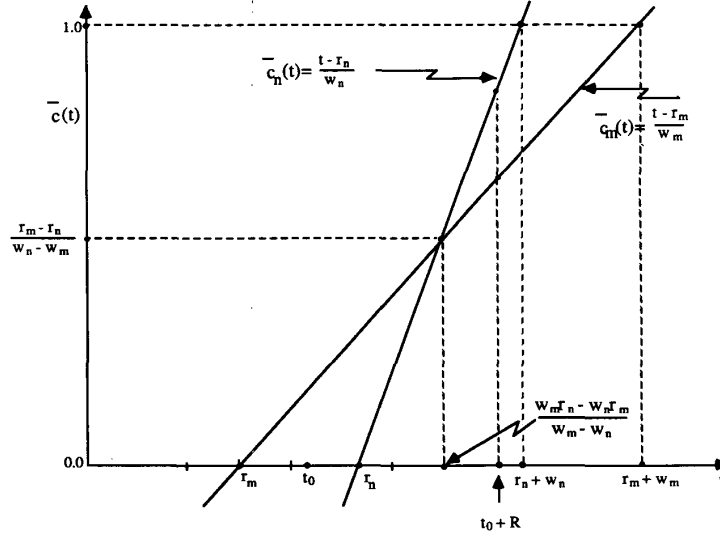
*Lemma 1:* Let  $O_b$  and  $O_c$  be two modules simultaneously schedulable on  $N_k$  at time  $t_0$  such that  $\Omega_1(O_c) \supseteq \Omega_1(O_b)$ ,  $\Omega_0(O_b) = \{T_m\}$ , and  $\Omega_0(O_c) = \{T_n\}$ , for some tasks  $T_m, T_n \in T$ . Then, it is advantageous w.r.t.  $\Theta$  to execute  $O_c$  before  $O_b$  at  $t_0$  if the following inequality (see Fig. 5) holds:

$$\frac{t - r_n}{w_n} \geq \frac{t - r_m}{w_m} \quad \forall t \geq t_0 + R \quad (1)$$

where  $R$  is the sum of the remaining execution times of all unfinished modules on  $N_k$  that precede at least one of  $T_m$  and  $T_n$ .

*Proof:* Since  $\Omega_1(O_c) \supseteq \Omega_1(O_b)$ , the lemma follows if we can show that executing  $O_c$  before  $O_b$  is advantageous for  $T_m$  and  $T_n$ . Consider again the partial schedule  $\mu_1$  in Fig. 4(a) generated by  $\zeta_1$ , and the modified partial schedule  $\mu_2$  in Fig. 4(b) generated by  $\zeta_2$ , where  $\zeta_2$ , in this case, differs from  $\zeta_1$  only in  $\mu_1$  and  $\mu_2$ . In both  $\mu_1$  and  $\mu_2$ , recall that  $O_x$ 's represent those modules that can preempt  $O_b$  and  $O_c$  at any time within  $[t_0, t_f]$ , whereas  $O_y$ 's are those modules that can be executed only after the  $O_b$ 's completion but can preempt  $O_c$  at any time within  $[t_0, t_f]$ . To prove that  $\Theta^{\zeta_2} \leq \Theta^{\zeta_1}$ , four cases must be considered depending on whether or not the completions of  $O_y$  and  $O_c$  represent those of  $T_m$  and  $T_n$ , respectively.

- Case 1: Neither  $O_y$  nor  $O_c$  is a *completing module* whose completion represents the completion of  $T_m$  or  $T_n$ . In this case, all task completion times are the same under  $\zeta_1$  and  $\zeta_2$ , thus  $\Theta^{\zeta_2} = \Theta^{\zeta_1}$ .
- Case 2: The completion of  $T_m$  is represented by that of  $O_y$ , but  $O_c$  is not a completing module. The normalized response time of  $T_m$  under  $\zeta_2$  is larger than that under  $\zeta_1$  while that of  $T_n$  remains unchanged. However, by (1), the normalized response time of  $T_n$  is larger than that of  $T_m$  under  $\zeta_2$  which, in turn, is larger than that of  $T_m$  under  $\zeta_1$ . This implies that the maximum of these remain unchanged regardless whether  $\zeta_2$  (in place of  $\zeta_1$ ) is used or not. Thus,  $\Theta^{\zeta_2} = \Theta^{\zeta_1}$ .


 Fig. 5. An example cost structure of  $T_m$  and  $T_n$ .

Case 3:  $O_c$  is a completing module, but  $O_y$  is not. The normalized response time of  $T_n$  is thus reduced while that of  $T_m$  remains unchanged under  $\zeta_2$  when compared to  $\zeta_1$ , thereby making  $\Theta^{\zeta_2} \leq \Theta^{\zeta_1}$ .

Case 4: Both  $O_y$  and  $O_c$  are completing modules. From (1) and SA2 of Algorithm A, it is advantageous to execute  $O_c$  before  $O_b$  (and  $O_y$ ), thus  $\Theta^{\zeta_2} \leq \Theta^{\zeta_1}$ . Q.E.D.

Notice that in the above proof,  $O_y$ , rather than  $O_b$ , is checked; if such an  $O_y$  is nonexistent ( $O_b$  itself is a completing module of  $T_m$ ), then we only need to replace  $O_y$  with  $O_b$  in the proof. Also, it is important to point out that Lemma 1 always holds no matter how many such  $T_m$ 's are in  $\Omega_0(O_b)$ . Specifically, suppose 1)  $\Omega_1(O_c) \supseteq \Omega_1(O_b)$  and 2)  $\Omega_0(O_c) = \{T_{m_1}, T_{m_2}, \dots, T_{m_q}\}$ , and  $\Omega_0(O_b) = \{T_n\}$ , for some tasks  $T_{m_1}, T_{m_2}, \dots, T_{m_q}, T_n \in T$ . Then, it is still advantageous to execute  $O_c$  before  $O_b$  at  $t_0$  if (1) holds for each pair of  $T_n$  and  $T_{m_j}$ ,  $1 \leq j \leq q$ . The proof is similar to that of Lemma 1, except that we now have to consider the completion event of each of  $T_{m_j}$ . It can be seen that, in each of the four cases considered,  $\Theta^{\zeta_2} \leq \Theta^{\zeta_1}$  still holds because the system hazard represents the maximum, rather than the sum, of a set of numbers. As we shall see later, this fact is essential in proving Theorem 3 below. Finally, as is shown in Fig. 5,  $t_0$  is not restricted to the time after both  $T_m$  and  $T_n$  are released;  $t_0$  can be any time before either  $T_m$  or  $T_n$  is completed.

Equation (1) holds if and only if the following inequality holds (see Fig. 5):

$$t_0 + R \geq (w_m r_n - w_n r_m) / (w_m - w_n) \quad \text{if } w_m > w_n$$

or

$$r_m \geq r_n \quad \text{if } w_m = w_n \quad (2)$$

where the RHS of the first inequality represents a particular time  $t$  such that  $(t - r_m) / w_m = (t - r_n) / w_n$ . It may be noted

that (2) conforms to the various optimal policies known in scheduling theory. This fact leads to the following definition of the "relative superiority" (or urgency) w.r.t.  $\Theta$  between two unfinished tasks  $T_m$  and  $T_n$ .

**Definition 3:** For two unfinished tasks  $T_m$  and  $T_n$  on  $N_k$ ,  $T_n$  is said to be 1) *superior* to  $T_m$  w.r.t.  $\Theta$ , written as  $T_n \text{ sp}^\Theta T_m$ , at  $t_0$  if (2) holds, and 2) *equal* to  $T_m$  w.r.t.  $\Theta$ , written as  $T_n \text{ eq}^\Theta T_m$ , if  $w_m = w_n$  and  $r_m = r_n$ .

$T_n \text{ sp}^\Theta T_m$  is defined only when  $w_n \leq w_m$  and that  $T_n \text{ eq}^\Theta T_m$  iff  $T_n \text{ sp}^\Theta T_m$  and  $T_m \text{ sp}^\Theta T_n \forall t_0$ . Notice that while  $\text{eq}^\Theta$  is an equivalence relation,  $\text{sp}^\Theta$  is *not* transitive, meaning that  $T_n \text{ sp}^\Theta T_m$  and  $T_m \text{ sp}^\Theta T_p$  do not necessarily imply  $T_n \text{ sp}^\Theta T_p$ . Furthermore, if  $T_n \text{ sp}^\Theta T_m$  at  $t_0$ , then  $T_n \text{ sp}^\Theta T_m$  at all  $t_1 \geq t_0$ . Based on the superiority relation between two tasks, we have the following definitions on their preceding modules  $O_b$  and  $O_c$ .

**Definition 4:**  $O_c$  is said to

- 1) *dominate*  $O_b$  w.r.t.  $\Theta$ , written as  $O_c \Rightarrow^\Theta O_b$ , at  $t_0$  if (a) for every  $T_m \in \Omega_0(O_b)$  there exists a  $T_n \in \Omega_0(O_c)$  such that  $T_n \text{ sp}^\Theta T_m$  at  $t_0$  and (b)  $\Omega_1(O_c) \supseteq \Omega_1(O_b)$ , and
- 2) be *similar* to  $O_b$  w.r.t.  $\Theta$ , written as  $O_c \text{ S}^\Theta O_b$ , at  $t_0$  if  $O_c \Rightarrow^\Theta O_b$  and  $O_b \Rightarrow^\Theta O_c$  at  $t_0$ .

Definition 4 specifies the relative urgency of a schedulable module  $O_x$  in term of those of the tasks in  $\Omega_0(O_x)$  and the tasks preceded by  $\Omega_1(O_x)$ . It is useful to examine the properties of  $\Rightarrow^\Theta$ . First,  $O_c \Rightarrow^\Theta O_b$  implies  $O_c \Rightarrow^\Theta O_b$  at any time  $t_0 \geq 0$ , but the converse is not always true. In particular, it is even possible that both  $O_c \Rightarrow^\Theta O_b$  and  $\Omega_0(O_c) \subset \Omega_0(O_b)$  hold. Second,  $\Rightarrow^\Theta$  is not transitive, and thus, neither is  $\text{S}^\Theta$ . Finally, if  $O_c \Rightarrow^\Theta O_b$  at  $t_0$ , then  $O_c \Rightarrow^\Theta O_b$  at any time  $t_1 \geq t_0$ .

Based on the discussions thus far, the DP's between  $O_b$  and  $O_c$  are summarized in the following theorem.

**Theorem 3:** Let  $O_b$  and  $O_c$  be two modules schedulable on  $N_k$ . Then, w.r.t.  $\Theta$ ,

- 1) it is advantageous to execute  $O_c$  before  $O_b$  at  $t_0$  if  $O_c \Rightarrow^\ominus O_b$  at  $t_0$ , and
- 2) it makes no difference as to which of  $O_b$  and  $O_c$  is executed first at  $t_0$  if  $O_c \mathbf{S}^\ominus O_b$  at  $t_0$ .

*Proof of 1):* Since  $O_c \Rightarrow^\ominus O_b$  at  $t_0$ , for every task  $T_m \in \Omega_0(O_b)$  there exists a corresponding  $T_n \in \Omega_0(O_c)$  such that (1) and (2) hold. From Lemma 1, executing  $O_c$  before  $O_b$  at  $t_0$  can reduce the maximum normalized response times<sup>6</sup> of such  $T_m$ 's and  $T_n$ 's. The completion time of every task other than such  $T_m$ 's and  $T_n$ 's does not increase because  $\Omega_1(O_c) \supseteq \Omega_1(O_b)$ .

*Proof of 2):* From the definition of  $\mathbf{S}^\ominus$  and the result of Part 1), the proof directly follows. Q.E.D.

From the discussion of Definition 4, there are cases where both  $O_c \Rightarrow^\ominus O_b$  and  $O_b \Rightarrow^Z O_c$  hold. This simply indicates that executing  $O_c$  before  $O_b$  (Theorem 3) is just as good as executing  $O_b$  before  $O_c$  (Theorem 2). Although Theorem 3 is useful, its implementation is not as straightforward as Theorem 2, because neither  $\Rightarrow^\ominus$  nor  $\mathbf{S}^\ominus$  is transitive. Corollaries 1 and 2 below indicate that, even though neither  $\Rightarrow^\ominus$  nor  $\mathbf{S}^\ominus$  is transitive, the orders of executing modules implied by these two relations are transitive.

*Corollary 1:* Let  $S_k(t_0) = \{O_j: 1 \leq j \leq s\}$  be a subset of  $s \geq 2$  modules schedulable on  $N_k$  at time  $t_0$ .

- 1) If  $O_s \Rightarrow^\ominus O_{s-1}, O_{s-1} \Rightarrow^\ominus O_{s-2}, \dots$ , and  $O_2 \Rightarrow^\ominus O_1$  at  $t_0$ , then it is advantageous for  $N_k$  to execute  $O_s$  before  $O_1$  at  $t_0$ .
- 2) If  $O_1 \Rightarrow^\ominus O_s$  in addition to the condition in (a), then it makes no difference as to which module in  $S_k(t_0)$  is executed first.

(The proof follows directly from Theorem 3 and Definition 2, and thus, is omitted.)

*Corollary 2:* Let  $S_k(t_0)$  be the same as in Corollary 1. If  $O_s \mathbf{S}^\ominus O_{s-1}, O_{s-1} \mathbf{S}^\ominus O_{s-2}, \dots$ , and  $O_2 \mathbf{S}^\ominus O_1$  at  $t_0$ , then it makes no difference as to which module in  $S_k(t_0)$  is executed first. (The proof follows directly from Part 2) of Theorem 3 and thus is omitted.)

It is worth pointing out that  $O_s \Rightarrow^\ominus O_{s-1}, O_{s-1} \Rightarrow^\ominus O_{s-2}, \dots, O_2 \Rightarrow^\ominus O_1$ , and  $O_1 \Rightarrow^\ominus O_s$  do not imply that  $O_s \mathbf{S}^\ominus O_{s-1}, O_{s-1} \mathbf{S}^\ominus O_{s-2}, \dots$ , and  $O_2 \mathbf{S}^\ominus O_1$  at  $t_0$ ; the converse does not hold either. For convenience, the set of schedulable modules for which execution order is immaterial is called an *immaterial set* (IM). As we shall see in Section IV, knowledge of an IM of size as large as possible greatly simplifies the search for an optimal schedule. An important property associated with two IM's is given in the following corollary.

*Corollary 3:* Let  $\Pi_k^1(t_0) = \{O_1^1, O_2^1, \dots, O_{s_1}^1\}$  and  $\Pi_k^2(t_0) = \{O_1^2, O_2^2, \dots, O_{s_2}^2\}$  be two distinct IM's of size  $s_1$  and  $s_2$  on  $N_k$  at  $t_0$ . If there exist  $O_b \in \Pi_k^1(t_0)$  and  $O_c \in \Pi_k^2(t_0)$  such that executing  $O_c$  before  $O_b$  at  $t_0$  is advantageous, then it is advantageous to execute  $O_j^2$  before  $O_i^1$  at  $t_0, \forall i, j$ .

<sup>6</sup>Recall that  $\Theta$  is the maximum, rather than the sum, of a set of scalar quantities. Hence this statement always holds no matter how many such  $T_m$ 's are in  $\Omega_0(O_b)$  for each  $T_n$  in  $\Omega_0(O_c)$ .

*Proof:* By assumption, it is advantageous at time  $t_0$  to execute  $O_j^2$  before  $O_c, O_c$  before  $O_b$ , and  $O_b$  before  $O_i^1$ . Since  $O_j^2$  is arbitrarily chosen from  $\Pi_k^2(t_0)$  and  $O_i^1$  from  $\Pi_k^1(t_0)$ , the corollary follows. Q.E.D.

Because  $O_c \Rightarrow^\ominus (\mathbf{S}^\ominus)O_b$  at  $t_0$  implies the same relation at any  $t_1 \geq t_0$ . Corollary 3 simply says that it is advantageous to execute all modules in  $\Pi_k^2(t_0)$  before any module in  $\Pi_k^1(t_0)$ . Corollary 3—which deals with the “uninterruptability” of an immaterial set—can be thought of as another version of Theorem 1, which deals with the uninterruptability of a single module.

It can be seen that the DP's in Theorem 3 are identified only under the condition  $\Omega_1(O_c) \supseteq \Omega_1(O_b)$ . Without this condition, it is very difficult to find any DP useful for our scheduling problem because of the interdependencies between scheduling decisions on different PN's. In Section IV we show how the DP's presented so far are used in the B&B algorithm to find an optimal schedule.

#### IV. SEARCH FOR AN OPTIMAL SCHEDULE WITH A B&B ALGORITHM

The proposed B&B algorithm is described in terms of its two phases: *branching* and *bounding*. The branching process expands an active parent vertex<sup>7</sup> to generate child vertices while the bounding process derives a lower-bound cost for each child vertex to guide the search [18]. In Section IV-A, we show how the DP's derived thus far are incorporated into the B&B algorithm for efficient branching. Lower-bounds of system hazard are then derived and used in Section IV-B for efficient bounding.

##### A. Generation of a Small Set of Schedules Using DP's

As mentioned earlier, the set of active nonpreemptive schedules contains all optimal schedules w.r.t. any regular measure. Thus, to minimize any regular measure, it is sufficient to consider only this set of active schedules. The above statement is true for nonpreemptive scheduling problems that may be as difficult as the job-shop scheduling problems. In this paper, however, we are concerned with the derivation of an optimal preemptive, rather than nonpreemptive, schedule. Therefore, the set of “active” schedules for our scheduling problem must be generated differently from that for the nonpreemptive case. Specifically, in the course of searching for an optimal schedule, we consider only those scheduling options that do not violate any of the three rules (IP1-IP3) listed shortly. This is because a schedule violating any of the three rules must be nonoptimal according to the discussions in Section III. Furthermore, since we are interested in finding only one optimal schedule, we will consider only one of the scheduling options in the same IM. Given the active set generated earlier, an optimal schedule w.r.t.  $\Theta$  can then be obtained by applying the bounding process only on this set.

The three scheduling rules listed below are important implications of the DP's presented in the previous section. After

<sup>7</sup>The term “vertex” instead of the more commonly used term “node” is used to avoid possible confusion with the nodes of the TG and the nodes of a history tree to be introduced.



elaborating on these three rules, we will give a detailed account of generating a set of active schedules. (As before,  $S_k(t_0)$  represents the set of all modules schedulable on  $N_k$  at time  $t_0$ .)

- IP1:  $N_k$  must not be left idle at  $t_0$  if  $S_k(t_0) \neq \emptyset$ .
- IP2:  $N_k$  must execute  $O_c \in S_k(t_0)$  before  $O_b \in S_k(t_0)$  at time  $t_0$  if (T1):  $O_c \Rightarrow^Z O_b$  and  $O_c \neq^Z O_b$ , and/or (T2):  $O_c \Rightarrow^\ominus O_b$  and,  $O_b$  and  $O_c$  do not belong to the same IM.
- IP3: Once  $O_c$  has been chosen by  $N_k$  at  $t_0$ , no other module in  $S_k(t_0)$  is allowed to preempt  $O_c$  before its completion. A new module that becomes schedulable at time  $t_1 > t_0$  (and thus belongs to  $S_k(t_1)$ , not to  $S_k(t_0)$ ) could preempt  $O_c$ ;  $t_1$  is the only time at which  $O_c$  can be preempted by this new module.

IP1 and IP3 are based on OB2 and Theorem 1, respectively, while IP2 comes from Theorems 2 and 3 and needs further elaboration on its implementation because of the aforementioned properties of  $\Rightarrow^Z$ ,  $=^Z$ ,  $\Rightarrow^\ominus$  and  $S^\ominus$ :

- P1:  $\Rightarrow^Z$  and  $=^Z$  are transitive. Besides, if  $O_c \Rightarrow^Z (=^Z) O_b$ , then  $O_c \Rightarrow^\ominus (S^\ominus) O_b$  at any  $t_0 \geq 0$ ; but the converse is *not* true.
- P2: Neither  $\Rightarrow^\ominus$  nor  $S^\ominus$  are transitive.
- P3: If  $O_c \Rightarrow^\ominus (S^\ominus) O_b$  at  $t_0$ , then  $O_c \Rightarrow^\ominus (S^\ominus) O_b$  at any  $t_1 \geq t_0$ .

P1 suggests that T1 of IP2 be tested before T2, and that  $O_b$  be immediately excluded from consideration for scheduling if T1 holds. P2 and part (a) of Corollary 1 suggest that the test for T2 on the set of modules survived (called the *survival set*) the test for T1 be different from the test for T1. Specifically, all  $O_b - O_c$  pairs in the survival set are tested first for T2 and then any  $O_b$  asserted by T2 is excluded from consideration for scheduling. P3 implies that T2 (and T1, of course) need not be tested again until a new module becomes schedulable on  $N_k$ . Let  $R_k(t_0)$  denote the set of modules which survive tests for both T1 and T2. Then, by Corollary 2 and part (b) of Corollary 1,  $R_k(t_0)$  may be further partitioned into  $q_k$  (yet to be determined) IM's,  $\Pi_k^j(t_0), j = 1, 2, \dots, q_k$ , in each of which changing the execution order does not affect the optimality. Thus, it is sufficient to pick an arbitrary module from each  $\Pi_k^j(t_0)$  and consider whether or not to execute it next. Because of P2, each  $\Pi_k^j(t_0)$  can be constructed as follows. First, using Corollary 2 and starting with an arbitrary module, a  $\Pi_k^j(t_0)$  is formed by adding a new module  $O_b$  to it whenever there exists an  $O_c$  already in  $\Pi_k^j(t_0)$  such that  $O_c S^\ominus O_b$ . Second, use part 2) of Corollary 1 to merge a cyclic set of IM's into  $\Pi_k^j(t_0)$ . A set of IM's is said to be cyclic if elements from IM's of the set form a cycle of the dominance relation as described in part 2) of Corollary 1. This merger is to further reduce the number of branches generated at the vertex since the single module to be arbitrarily picked from the IM is now picked from a larger size IM after the merger.

To meet the uninterruptability requirement, a tree, called the *history tree* ( $HT_k$ ), for  $N_k$  is used to keep track of execution order for the modules on  $N_k$ . As shown in Fig. 6, each  $HT_k$  has only one vertical thread of branches, in which

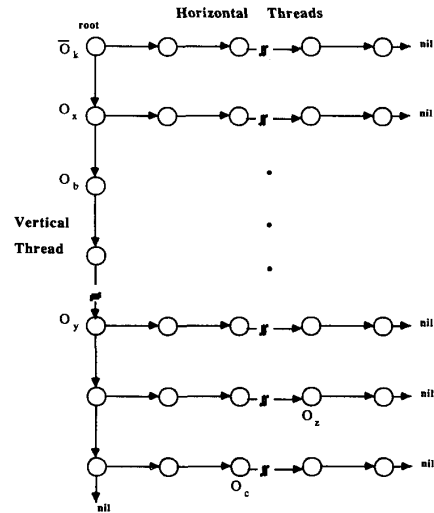


Fig. 6. The history tree of  $N_k$ .

each node represents a module that is partially completed and preempted by the one immediately above it, and the root is the module being executed by  $N_k$ . For each module on the vertical thread, a horizontal thread of branches is also constructed to record the set of modules that were not selected by  $N_k$  even after surviving both tests of T1 and T2. As we shall see later, each  $HT_k$  is constructed and updated such that a module schedulable on  $N_k$  may appear at most at one node of  $HT_k$ .  $HT_k$ 's are used as F1, a tool for checking whether or not Theorem 3 and the uninterruptability requirement of IM's have been violated in any PN's prior partial schedule, and F2, a guide for each PN to select a module without violating the two conditions of F1 at least up to the time of the next scheduling decision. The violations stated in F1 are possible because more dominance relations will be established as time goes by.

In what follows, we briefly describe how  $HT_k$ 's are constructed and updated, and explain how F1 and F2 are done to further simplify the search in the B&B algorithm. Let  $t_1$  be the time when a module or communication delay is completed, or a new task is released, and thus, a new scheduling decision has to be made. Also, let  $R_k(t_1) \subseteq S_k(t_1)$  be the set of all schedulable modules, each of which survived both T1 and T2 at  $t_1$ , and  $\Pi_k^1(t_1), \Pi_k^2(t_1), \dots, \Pi_k^{q_k}(t_1)$  be the  $q_k$  IM's resulting from partitioning  $R_k(t_1)$ . If the original  $HT_k$  is a null tree, then checking F1 is unnecessary and selecting a module from any of  $\Pi_k^j(t_1)$  will violate neither of the two conditions of F1. Let  $\gamma_k$  denote the module selected by  $N_k$ . Thus, an  $HT_k$  is created by using  $\gamma_k$  as its root and including each module in  $R_k(t_1) - \{\gamma_k\}$  in the horizontal branch rooted by  $\gamma_k$ . If the original  $HT_k$  is not null, then both F1 and F2 need to be performed. For F1, there are at least two cases, V1 and V2, to be checked:

- V1: There are at least a pair of modules, say,  $O_b$  and  $O_c$  with  $O_c \Rightarrow^\ominus O_b$  at  $t_1$ , such that the node representing  $O_b$  is on the vertical thread of  $HT_k$  and has the node representing  $O_c$  on one of its branches (Fig. 6).

V2: There are at least three modules, say,  $O_x, O_y$  and  $O_z$ , such that (1) both  $O_x$  and  $O_y$  are on the vertical thread of  $HT_k$  and  $O_y$  is rooted by  $O_x$  (i.e.,  $O_y$  has ever been directly or indirectly preempted by  $O_x$ ), and (2)  $O_z$  is rooted by  $O_y$  and belongs to the same IM as  $O_x$  (i.e., the IM containing  $O_x$  and  $O_z$  has ever been interrupted by that containing  $O_y$ ).

If any of V1 and V2 is true for any PN, then the parent vertex  $y_0$  being expanded is discarded because  $y_0$  will never lead to an optimal schedule, or there exists at least another optimal schedule that does not include  $y_0$  as its partial schedule. If the parent vertex  $y_0$  is not discarded, then F2 needs to be performed on each  $N_k$  such that the selected module for  $N_k$  will not violate either of the two conditions in F1 at  $t_1$ . F2 is done by building a set of "prohibited" IM's on  $N_k$ . Specifically, a prohibited IM is the one that has ever been preempted on  $N_k$ . Thus, if a module is chosen from a prohibited IM by  $N_k$  at  $t_1$ , then the uninterruptibility requirement of this IM is violated at  $t_1$ . Further, to satisfy Theorem 1, if a module is to be selected by  $N_k$  from an IM of which one module is being executed, then  $N_k$  can only continue the module that  $N_k$  has been executing. After applying F1 and F2, let  $\gamma_k$  denote the module selected for  $N_k$  (if such a  $\gamma_k$  does not exist, then  $N_k$  is kept idle until the time of next scheduling decision) and let  $\bar{O}_k$  represent the module that was being executed at the time of selecting  $\gamma_k$ . Then,  $HT_k$  is updated according to the following rules:

*Case 1:  $\gamma_k \neq \bar{O}_k$  and  $\bar{O}_k$  is not completed at  $t_1$ .* A new node representing  $\gamma_k$  is created on top of  $\bar{O}_k$ , indicating the preemption of  $\bar{O}_k$  by  $\gamma_k$ . For this new node, a horizontal thread is constructed to include each module in  $R_k(t_1) - \{\gamma_k\}$  if it is not already in  $HT_k$ . This is to indicate that, among all modules in  $R_k(t_1)$ , only  $\gamma_k$  is selected and the thread contains the other modules which are not selected by  $N_k$  at  $t_1$ .

*Case 2:  $\gamma_k \neq \bar{O}_k$  and  $\bar{O}_k$  is completed at  $t_1$ .* If  $\gamma_k$  is not the module previously preempted by  $\bar{O}_k$ , then the node representing  $\bar{O}_k$  is replaced by that representing  $\gamma_k$ , and each module in  $R_k(t_1) - \{\gamma_k\}$  must be appended to the original horizontal thread of  $\bar{O}_k$  in case it is not already in  $HT_k$ . Otherwise,  $\bar{O}_k$  is simply deleted from  $HT_k$ , and each module of  $R_k(t_1) - \{\gamma_k\}$  in the horizontal thread of  $\bar{O}_k$  is appended to the horizontal thread of  $\gamma_k$  if it is not already in  $HT_k$ .

*Case 3:  $\gamma_k = \bar{O}_k$  and  $\bar{O}_k$  is not completed at  $t_1$ .* No new node is created to preempt  $\bar{O}_k$ . However, modules in  $R_k(t_1) - \{\gamma_k\}$  must be appended to the horizontal thread of  $\bar{O}_k$ .

*Case 4: No  $\gamma_k$  is selected.* Delete  $\bar{O}_k$ , if any, from  $HT_k$  to let  $HT_k$  become null and  $N_k$  be idle. This is because  $\bar{O}_k$  must be completed at  $t_1$  and no more schedulable modules are available to  $N_k$ .

Also, to ensure that  $\gamma_k$  appears only once in  $HT_k$ , the  $\gamma_k$  already included in one of the horizontal threads must be deleted in the above rules. Except when  $\bar{O}_k$  or the module is preempted by  $\bar{O}_k$  in Case 2,  $\gamma_k$  can never be any node in the vertical thread.

Based on the above discussions of IP1-3, we can now construct the algorithm which generates a small set of active schedules using DP's. It is helpful to summarize and/or

introduce the notation to be used in the algorithm as follows:

- A: Set of modules without preceding activities on the TG. A module in  $A$  becomes schedulable whenever the task containing it is released.
- B: Set of modules with preceding activities on the TG. A module in  $B$  becomes schedulable upon completion of all its preceding activities as well as the release of the task containing it.
- Y: Set of communication delays. Each communication delay must have at least one preceding module.
- $t_0$ : The current time or the time a scheduling decision has been made.
- $t_1$ : The earliest time since  $t_0$  when at least a module or communication delay is completed or a task is released. That is,  $t_1$  is the time when a new scheduling decision has to be made.
- $S_k$ : Set of schedulable modules at  $t_1$  on  $N_k$  including those partially completed.
- $L_d$ : Set of ready communication delays,  $m_i$ 's, at  $t_1$ . The remaining period of  $m_i$  is denoted by  $v_i$ . Since communication delays are not schedulable objects, each  $v_i$  is reduced to the passage of time.
- $R_k$ : Subset of schedulable modules on  $N_k$ , each of which survives tests for both T1 and T2 of IP2 at  $t_1$ . Thus,  $R_k \subseteq S_k$ .
- $\Pi_k^j$ : The  $j$ th IM on  $N_k$  at  $t_1$ . Each  $\Pi_k^j$  and the total number of IM's on  $N_k$ , denoted by  $q_k$ , may change with time.
- $y_0$ : The "parent" vertex in the state space to be searched.
- $y_1$ : The "child" vertex of  $y_0$ .
- $\alpha_b$ : Release time of  $O_b \in A$ .
- $\beta_k$ : Completion time of a module which is executed by  $N_k$ .
- $\beta_d$ : The earliest completion time among all communication delays in  $L_d$ .

Since preemptions are allowed, a vertex  $y$  is represented by the following information:

- $PS_k$ : The partial schedule of  $N_k$  containing all scheduled (completed and uncompleted) modules.
- $HT_k$ : The history tree of  $N_k$ .

Using the DP's and notation introduced thus far, we present the algorithm for generating a small set of active schedules that contains at least one optimal schedule.

#### PROCEDURE create\_root\_of\_search\_tree

**For**  $k = 1, 2, \dots, n$  **do**

1. Initialize  $S_k := \emptyset, R_k := \emptyset$ .
2. Set  $PS_k = HT_k := \emptyset$ .

**end\_do.**

Set  $t_1 := 0, t_0 := 0$ , and  $L_d := \emptyset$  to create the root vertex  $y_0 = y_1$ .

**end\_create\_root\_of\_search\_tree.**

#### MAIN PROGRAM generate\_active\_schedules

S1. Initialize  $A, B$  and  $Y$ .

S2. create\_root\_of\_search\_tree.

S3. **While** the generated vertex  $y_1$  does not represent a complete schedule **and** each  $HT_k$  survives V1 and V2 of F1 **do**:

- 3a. Create  $S_k$ 's ( $L_d$ ) by moving all modules (communication delays) that become schedulable (ready) at  $t_1$  from  $A$  to  $B$  ( $Y$ ) to the corresponding  $S_k$ 's ( $L_d$ ).
- 3b. Perform the dominance tests T1 and T2 on each  $S_k$  to generate  $R_k$ , and partition each  $R_k$  to derive  $\Pi_k^j, j = 1, 2, \dots, q_k$ .
- 3c. Perform F2 to choose  $\Pi_k^i, i = 1, 2, \dots, \hat{q}_k$ , from that in Step 3b, where  $i$  is the new index and  $\hat{q}_k \leq q_k$ . Let  $\Gamma_k = \{O_k^1, O_k^2, \dots, O_k^{\hat{q}_k}\}$  be the set of modules chosen by  $N_k$ .
- 3d. Set  $y_0 := y_1$ , and create a child vertex  $y_1$  of  $y_0$  for each PN. Let  $\gamma_k$  denote the module chosen by  $N_k$  in  $y_1$ . (If  $\hat{q}_k = 0$  then  $\gamma_k := null$ , meaning that  $N_k$  is left idle in  $y_1$ .)
- 3e. **For** each created  $y_1$  **do**:
  - 3.e.1 Update  $HT_k, k = 1, 2, \dots, n$ , according to the rules described above.
  - 3.e.2 Set  $t_0 := t_1$  and prepare to obtain a new  $t_1$  as below.
  - 3.e.3 Determine  $\alpha^* = \min_{O_b \in A} \{\alpha_b\}$ ,
 
$$\beta^* = \min\{\beta_d, \min_{k=1, \dots, n} \{\beta_k\}\},$$
 and set  $t_1 := \min\{\alpha^*, \beta^*\}$ , the earliest time a new scheduling decision has to be made.
  - 3.e.4 Modify  $PS_k$  by scheduling  $\gamma_k$  during the interval  $[t_0, t_1]$  (let  $N_k$  be idle if  $\gamma_k = null$ ),  $k = 1, 2, \dots, n$ .
  - 3.e.5 Return to Step S3.

While satisfying the DP's derived earlier, the algorithm recursively generates a set of preemptive active schedules in a depth-first fashion. This set of active schedules contains at least one optimal schedule. Also, because of the uninterruptability in Theorem 1, the depth of the search tree generated is at most twice the total number of modules to be scheduled.

### B. Estimation of Lower-Bound Cost

Once the rule for expanding a vertex is determined, the efficiency of search for an optimal schedule with a B&B algorithm depends solely on  $\hat{\Theta}(y)$ , the lower-bound cost of vertex  $y$ , and the computation needed to obtain  $\hat{\Theta}(y)$ . Based on Algorithm A, various  $\hat{\Theta}(y)$ 's can be derived depending on how the precedence constraints are relaxed and how the release time and cost function of each module (communication delay) are determined. For one extreme, we may ignore all precedence constraints between any two PN's, assume that all tasks have been released, apply Algorithm A to obtain the minimal maximum cost for each PN, and use the maximal mini-max cost among all PN's as a lower-bound cost  $\hat{\Theta}_1(y)$ . For the other extreme, we may consider all precedence constraints and task release times, and use the same method to derive another lower-bound cost  $\hat{\Theta}_2(y)$ . Obviously,  $\hat{\Theta}_2(y)$  is tighter than  $\hat{\Theta}_1(y)$  but requires more effort to compute. Since all other bounds in between these two extremes can be derived similarly, we shall consider only these two extreme bounds.

Consider a vertex  $y$  at  $t = t_1$ , let  $g_i(y)$  be the actual path cost of  $T_i$  from the root to  $y$ .  $g_i(y)$  can be easily computed

from the partial schedule represented by  $y$  as follows. Since some tasks may have been completed before  $t_1$ ,  $g_i(y)$  is determined as  $T_i$ 's *normalized partial response time* at  $t_1$ :

$$g_i(y) \triangleq \begin{cases} \bar{c}_i & \text{if } T_i \text{ is completed before } t_1 \\ (t_1 - r_i)/w_i & \text{otherwise} \end{cases} \quad (3)$$

where  $\bar{c}_i, r_i$ , and  $w_i$  are the normalized response time, release time and normalization factor of  $T_i$ , respectively. Note that  $g_i(y) < 0$  if  $T_i$  is not yet released before  $t_1$ .

To derive  $\hat{\Theta}_1(y)$ , precedence constraints between two PN's are ignored, and all unfinished tasks are assumed to have been released. These assumptions make it possible to schedule tasks, rather than individual modules, with Algorithm A. Specifically, in step SA2 of Algorithm A, set the cost function of unfinished  $T_i$  as

$$f_i(t) := (t - r_i)/w_i = g_i(y) + (t - t_1)/w_i \quad (4)$$

and let  $t_1 + R_k(B)$  be the block completion time  $t(B)$ , where  $R_k(B)$  is the sum of all remaining execution times of the set of unfinished modules each of which precedes at least a task in  $B$ . Suppose  $\hat{\theta}_{1k}(y)$  is the maximum between 1) the mini-max cost of  $N_k$  obtained from Algorithm A, and 2) the maximum  $g_i(y)$  obtained from (3) among all tasks completed before  $t_1$  on  $N_k$ . Then  $\hat{\Theta}_1(y) \triangleq \max_{N_k \in N} \hat{\theta}_{1k}(y)$  is a lower-bound cost of  $y$ , and the computational complexity is  $O(n|\bar{T}|^2)$ , where  $n$  is the total number of PN's.  $|\bar{T}|$  the average number of tasks on each PN.

$\hat{\Theta}_2(y)$  is derived while all precedence constraints and task release times are considered. This also implies that modules, rather than tasks, are the objects to be scheduled by Algorithm A. In a simpler case, the release time  $s_b$  and cost function  $f_b(t)$  of an unfinished module  $O_b$  can be determined as follows. Since  $O_b$  is not schedulable until each task that contains at least a module preceding  $O_b$  has been released,  $s_b$  is set to the maximum release time among all such tasks. Because it is the completion of a task, rather than that of a module, that accounts for the cost,  $f_b(t)$  may be set as:

$$f_b(t) := \begin{cases} (t - r_i)/w_i & \text{if } head(O_b) = T_i \\ 0 & \text{otherwise} \end{cases} \quad (5)$$

where  $t$  is the completion time of  $T_i$ , and  $head(O_b) = T_i$  means that  $head(O_b)$  is the node representing the completion event of  $T_i$ . After applying Algorithm A, define  $\hat{\theta}_{2k}(y)$  similarly to  $\hat{\theta}_{1k}(y), k = 1, 2, \dots, n$ . Then,  $\hat{\Theta}_2(y) \triangleq \max_{N_k \in N} \hat{\theta}_{2k}(y)$  is a tighter lower-bound cost than  $\hat{\Theta}_1(y)$ , and the computational complexity is  $O(n|\bar{P}|^2)$ , where  $|\bar{P}|$  is the average number of modules on each PN. Note that more accurate, but more computational demanding release time and cost function for each unfinished module are possible. For example, the minimum time from a task's release to a module's release may also be considered to determine the release time of the module. Furthermore, the effect of a module's completion on the completions of tasks in the other PN's may be included in deriving a more accurate cost function of that module. These issues are partially addressed in [2].

As mentioned earlier,  $\hat{\Theta}_2(y) \geq \hat{\Theta}_1(y), \forall y$ , because  $\hat{\Theta}_1(y)$  is a lower-bound of  $\hat{\Theta}_2(y)$ . There is always a trade-off between

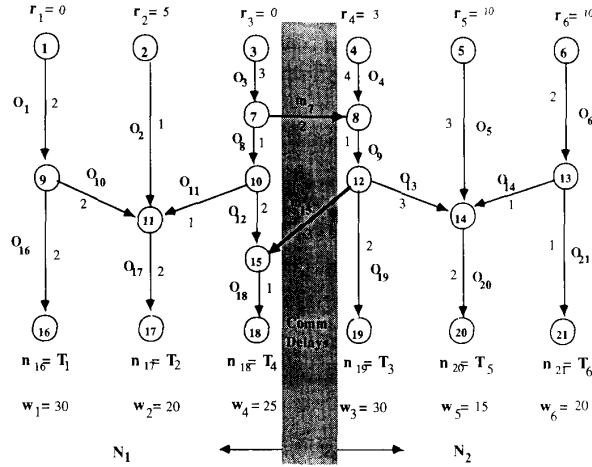


Fig. 7. The example TG.

the accuracy and computational complexity of any lower-bound.

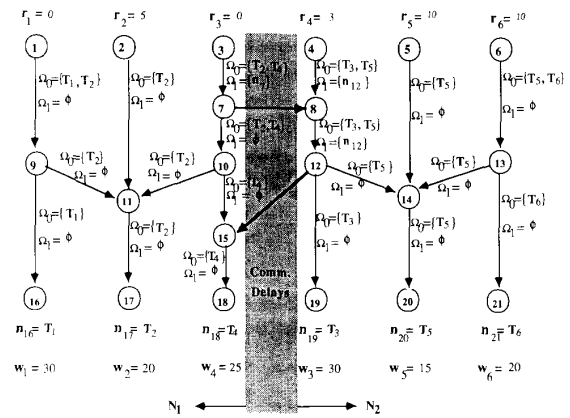
## V. AN EXAMPLE AND COMPUTATIONAL EXPERIENCES

In this section, a demonstrative example and some computational experiences of the proposed B&B method are presented.

### A. An Example

Consider the scheduling problem for the TG in Fig. 7, where six tasks  $T_1, T_2, \dots, T_6$  are to be executed by  $N_1$  and  $N_2$ . All modules on the LHS of the shaded area of Fig. 7 are to be executed by  $N_1$  and those on the RHS by  $N_2$ . Each task is released by realizing its starting node and completed by realizing its ending node. The release times and normalization factors are  $r_1 = 0, r_2 = 5, r_3 = 0, r_4 = 3, r_5 = 10, r_6 = 10, w_1 = 30, w_2 = 20, w_3 = 30, w_4 = 25, w_5 = 15$  and  $w_6 = 20$ . Notice that, while all the other tasks are released and completed on the same PN,  $T_3 (T_4)$  is released on  $N_1 (N_2)$  but completed on  $N_2 (N_1)$ . Fig. 8 shows  $\Omega_0(\bullet)$  and  $\Omega_1(\bullet)$  of each module and Fig. 9 gives the cost function for each task. Using  $\Theta_1(y)$ , we show in Fig. 10 all the vertices that have been generated in ascending order of their indices, and in Fig. 11 the corresponding optimal schedule.

Before reaching an optimal schedule—which is represented by 17 vertices from the root to vertex  $v_{25}$ —a total of only 25 vertices are generated. The first five vertices  $v_1-v_5$  were generated because DP's exist neither between  $O_1$  and  $O_3$  nor between  $O_{16}$  and  $O_3$ . Since  $O_3$  is denied and  $O_{16}$  is chosen at  $v_5$ , vertices where  $O_3$  preempts  $O_{16}$  will never be generated by expanding  $v_5$  except at, or after,  $O_{16}$ 's completion (Theorem 1). When expanding  $v_6$ , where  $O_3$  and  $O_2$  are both schedulable, only  $v_7$  where  $O_3$  is chosen by  $N_1$  is generated because  $O_3 \Rightarrow^Z O_2$ . This branch of the tree is expanded until  $v_9$ , whose lower-bound cost becomes 0.73. Even with the same lower-bound cost 0.70,  $v_4$ , rather than  $v_3$ , is chosen for expansion because the depth-first policy is used to break a tie on lower-bound costs.

Fig. 8. The  $\Omega_0(\bullet)$  and  $\Omega_1(\bullet)$  sets of the TG in Fig. 7.

As  $v_{10}$  is expanded, both  $v_{11}$  and  $v_{12}$  have to be generated because no DP's between  $O_8$  and  $O_{16}$  exist (since neither  $T_2 \text{ sp}^{\ominus} T_1$  nor  $T_1 \text{ sp}^{\ominus} T_1$ .) From  $v_{12}$ , only  $v_{13}$ , where  $O_8$  is selected, is generated because  $O_8 \Rightarrow^Z O_2$  and  $O_8 \Rightarrow^Z O_{10}$  although  $O_2, O_8$  and  $O_{10}$  are all schedulable at  $t = 7$  (i.e.,  $t_1$  of  $v_{12}$  and  $t_0$  of  $v_{13}$ ). While expanding  $v_{13}$ , four modules ( $O_2, O_{10}, O_{11}$  and  $O_{12}$ ) on  $N_1$  and two modules ( $O_{13}$  and  $O_{19}$ ) on  $N_2$  are schedulable, making a total of eight combinations. Since  $O_2, O_{10}$ , and  $O_{11}$  belong to the same IM and dominate ( $\Rightarrow^{\ominus}$ )  $O_{12}$ , an arbitrary module, say  $O_2$ , is chosen for  $N_1$ . On the other hand,  $O_{13} \Rightarrow^{\ominus} O_{19}$ , so only  $O_{13}$  is chosen by  $N_2$ , although both are schedulable. Therefore, out of these eight combinations, only one vertex  $v_{14}$  needs to be generated without sacrificing optimality, and thus, significantly simplifies the search.

Another situation to be noted is when both  $O_5$  and  $O_6$  become schedulable on  $N_2$  at  $t = 10$  as  $v_{15}$  is expanded. Since  $O_6 \Rightarrow^Z O_5(O_{13})$  and  $O_5(O_{13}) \Rightarrow^{\ominus} O_{19}$  at  $t = 10$ , the only vertex to be generated is for  $O_6$  to preempt  $O_{13}$  on  $N_2$  although a total of four modules ( $O_5, O_6, O_{13}$  and  $O_{19}$ ) are schedulable on  $N_2$ . After completing  $O_6, O_{13}$  resumes its execution. We can easily see how the DP's are followed similarly by the rest of the optimal schedule.

For completeness, we show how  $\Theta_1(y)$  and  $\Theta_2(y)$  can be derived for the above example. Consider  $v_{14}$  (see Figs. 10 and 11) for instance, where  $t = 9$  when  $T_1$  is completed while neither  $T_5$  nor  $T_6$  is released. The normalized partial response times of tasks at  $t = t_1 = 9$  are  $g_1(v_{14}) = (7 - 0)/30 = 7/30, g_2(v_{14}) = (9 - 5)/20 = 4/20, g_3(v_{14}) = (9 - 0)/30 = 9/30, g_4(v_{14}) = (9 - 3)/25 = 6/25, g_5(v_{14}) = (9 - 10)/15 = -1/15, g_6(g_{14}) = (9 - 10)/20 = 1/20$ . To derive  $\Theta_1(v_{14})$ , precedence constraints between  $N_1$  and  $N_2$  are relaxed and all tasks are assumed to have been released. The block completion time  $t(B)$  for unfinished tasks  $T_1$  and  $T_2$  on  $N_1$  is determined to be  $t_1 + R_1(B) = 9 + 8 = 17$ , where  $R_1(B)$  is the sum of remaining execution times of all unfinished modules on  $N_1$ , (i.e.,  $O_{10}, O_{11}, O_{12}, O_{17}$  and  $O_{18}$ ), each of which precedes  $T_2$  or  $T_4$ . Since  $f_2(17) > f_4(17)$  (Fig. 9), by Algorithm A,  $T_4$  should be completed last. Delete those modules that precede only  $T_4$  and compute the block completion time for those

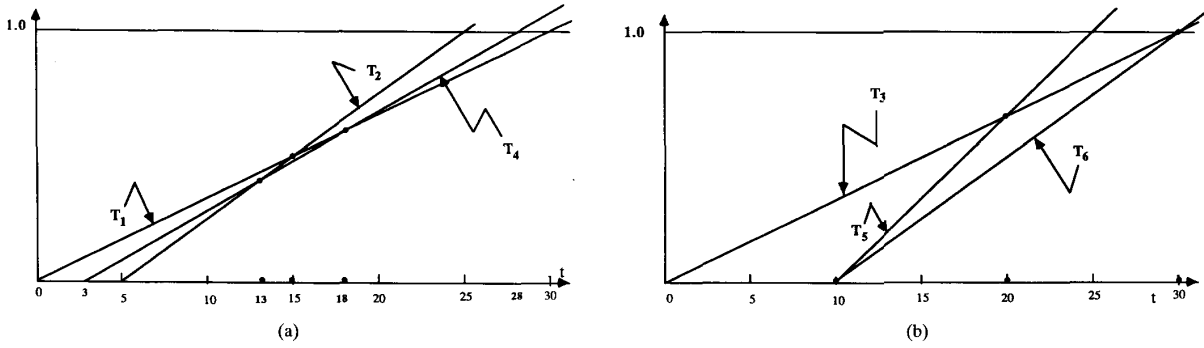


Fig. 9. Cost functions of tasks. (a) Tasks on  $N_1$ . (b) Tasks on  $N_2$ .

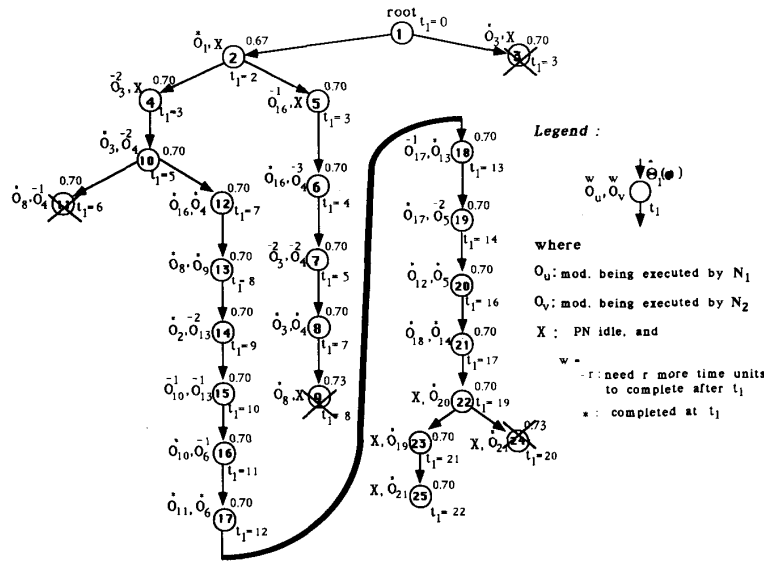


Fig. 10. The search tree of the TG of Fig. 7.

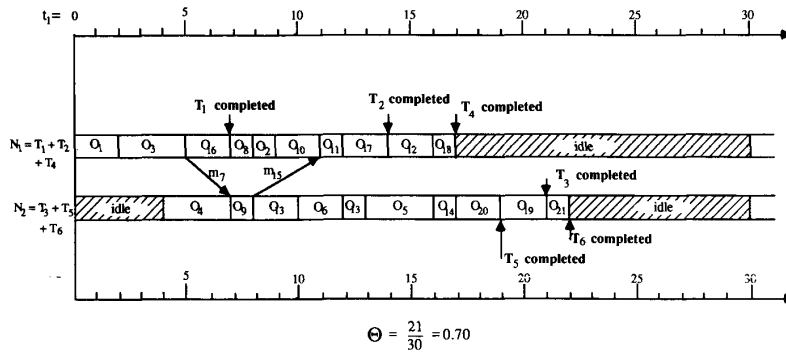


Fig. 11. The optimal schedule of Fig. 10.

that precede only  $T_2$  to obtain  $t_1 + 5 = 14$ . This implies that the mini-max lower-bound cost for  $T_2$  and  $T_4$  on  $N_1$  be  $\max\{f_2(14), f_4(17)\} = \max\{g_2(v_{14}) + 5/20, g_4(v_{14}) + 8/25\} = \max\{9/20, 14/25\} = 14/25$ . Thus,  $\hat{\theta}_{11}(v_{14}) = \max\{14/25, 7/30\} = 14/25$ .

Similarly, we proceed with tasks  $T_3, T_5$  and  $T_6$  on  $N_2$ . They should be completed in the order of  $T_5, T_3$ , and  $T_6$ , and with completion times 19, 21 and 22, respectively. Thus,  $\hat{\theta}_{12}(v_{14}) = \max\{f_3(21), f_5(19), f_6(22)\} = \max\{21/30, 9/15, 12/20\} = 21/30$ . It follows that  $\hat{\Theta}_1(v_{14}) =$

$\max\{\hat{\theta}_{11}(v_{14}), \hat{\theta}_{12}(v_{14})\} = 21/30$  as shown in Fig. 10.

$\hat{\Theta}_2(v_{14})$  is derived while honoring all precedence constraints and all task release times. The release times of unfinished modules on  $N_1$  at  $v_{14}$  are  $s_{10} = s_{11} = s_{12} = 0, s_{17} = 5$  and  $s_{18} = 3$ , and by (5), the cost functions for these modules are 0 except  $O_{17}$  and  $O_{18}$ , whose cost functions are those of  $T_2$  and  $T_4$ , respectively. By applying Algorithm A and comparing the result with  $g_1(v_{14})$ , we obtain  $\hat{\theta}_{21}(v_{14}) = \max\{7/30, 14/25\} = 14/25$ , which is the same as  $\hat{\theta}_{11}(v_{14})$ . For the unfinished modules on  $N_2$  at  $v_{14}$ ,  $s_5 = s_6 = s_{14} = s_{20} = s_{21} = 10$ , and  $s_{13} = s_{19} = 0$ . Similarly, the cost functions for  $O_5, O_6, O_{13}$  and  $O_{14}$  are 0 while those for  $O_{19}, O_{20}$  and  $O_{21}$  are those for  $T_3, T_5$  and  $T_6$ , respectively. By applying Algorithm A,  $\hat{\theta}_{22}(v_{14}) = 21/30$  is obtained, which is again equal to  $\hat{\theta}_{12}(v_{14})$ . Thus,  $\hat{\Theta}_2(v_{14}) = \hat{\Theta}_1(v_{14}) = 21/30$ . These results are expected because the unfinished modules always create the same single block in Step SA2 of Algorithm A regardless whether  $\hat{\Theta}_1(y)$  or  $\hat{\Theta}_2(y)$  is derived.

### B. Computational Experiences

The proposed B&B algorithm, which embodies Theorems 1-3 and Corollaries 1-3, was coded in Pascal and run on a VAX-8600 computer with 4.3 BSD UNIX (UNIX is a trademark of AT&T Bell Laboratories) operating system. In order to test a wider class of sample problems, a total of 90 sets of tasks were randomly generated according to the classification of tasks: 1) the average number of modules per task is either 4, 6, or 8 and 2) the number of PN's in the system is either 2, 5, or 8.

Consider a class where the modules per task is  $x_1$  and the number of PN's  $x_2$ . A total of 30, 20, and 10 locally numbered nodes are initially set up on each PN for  $x_1 = 4, 6$  and 8, respectively. The module between nodes  $n_i$  and  $n_j, i < j$ , on the same PN is generated if the outcome from a random experiment using a uniform distribution is greater than the threshold  $p\rho^r$ , where  $p \leq 1$  is the initial probability,  $\rho = 0.5$  the discount factor, and  $r = j - i - 1$  the discount period. That is, given  $p$ , the larger the difference  $j - i$ , the less likely is a module generated between  $n_i$  and  $n_j$ . Therefore, by tuning  $p$  and considering the total number of tasks to be created, we can generate task sets with the desired  $x_1$  value. After removing each "dangling" node, tasks are created by randomly assigning their starting and ending nodes to the remaining nodes. The number of tasks created for each class is pre-determined such that the average numbers of tasks on each PN are 2, 1.5, and 1 for  $x_1 = 4, 6$ , and 8, respectively. For example, two tasks on the average are created for the class where  $x_1 = 8$  and  $x_2 = 2$ . Ten tasks are created for the class where  $x_1 = 4$  and  $x_2 = 5$ , and so on. Also, communication delays are generated for each task and randomly inserted between the task and other tasks on different PN's to establish the required precedence constraints.

For each of these 9 classes, 10 task sets were tested. Table I summarizes the (rounded) average numbers of activities, and tasks created for each class. The test results, which include the (rounded) average number of vertices generated and the CPU time (the sum of user and system times) consumed, are recorded in Table II and plotted in Fig. 12. According to our experiences, the variance of each entry in Table II grows

TABLE I  
THE NINE CLASSES OF TG'S TESTED

$x_1$	$x_2$		
	$n = 2$	$n = 5$	$n = 8$
4	20 <sup>a</sup> , 4 <sup>b</sup>	55, 10	92, 16
6	20, 3	55, 7	90, 12
8	18, 2	47, 5	78, 8

<sup>a</sup>Number of activities

<sup>b</sup>Number of tasks

TABLE II  
THE EST RESULTS OF THE NINE CLASSES

$x_1$	$x_2$		
	$n = 2$	$n = 5$	$n = 8$
4	19 <sup>a</sup> , 0.23 <sup>b</sup>	48, 2.51	130, 18.23
6	20, 0.20	47, 2.24	126, 16.25
8	18, 0.11	37, 0.86	49, 3.02

<sup>a</sup>Number of vertices generated.

<sup>b</sup>CPU time used (seconds).

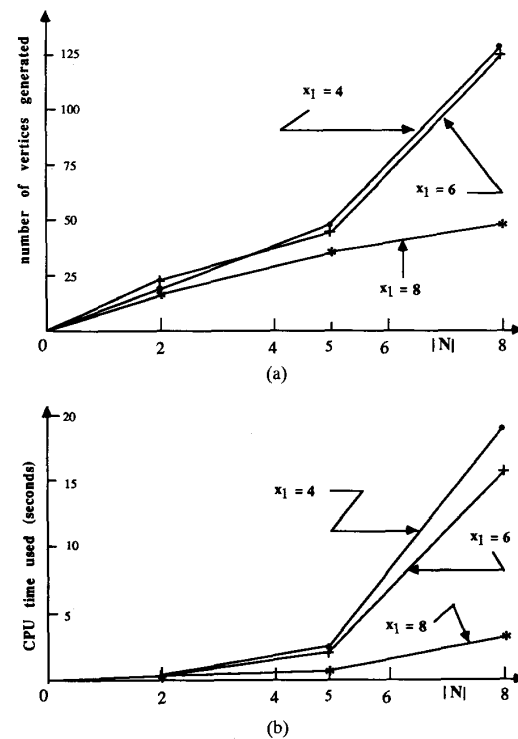


Fig. 12. Test results. (a) Number of vertices generated. (b) CPU time used (seconds).

rapidly as the number of PN's increases. For example, for  $x_1 = 8$ , the variance of the number of vertices generated (CPU time used) for the entry  $x_2 = 2$  is about 27 (1389) times higher than that for the entry  $x_2 = 8$ . Therefore, the test results are very sensitive to the way in which the random

samples are generated as well as to the set of task sets actually tested as the number of PN's increases.

## VI. CONCLUSION

Since the task scheduling problem with precedence constraints in a distributed system is generally NP-hard, some form of heuristic is necessary to solve it. In this paper, we have presented a new approach to the scheduling problem using a B&B algorithm on the basis of

- Modeling the task set with an acyclic graph,
- Identifying the DP's w.r.t. all regular measures and the system hazard,
- Developing the vertex expansion algorithm using the DP's into which the B&B algorithm is embedded, and
- Deriving lower-bound costs for each vertex so that the B&B algorithm may be efficiently guided to find an optimal schedule.

Our computational experiences, albeit limited, have indicated that this approach is very efficient in searching for an optimal schedule. Because our approach depends on the B&B algorithm, it can be extended (with certain modifications, of course) to solve similar problems with other types of resource constraints. For example, suppose only  $r$  units of memory is available at time  $t_0$ , then this constraint can be easily imposed to the branching process: only those schedulable modules at  $t_0$  whose individual memory requirement is equal or less than  $r$  is allowed to be considered for further branching in the proposed B&B algorithm.

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